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Correctional Service
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RESEARCH REPORT

COMMUNICATIONS AND CORPORATE DEVELOPMENT

— Research Report —

An Examination of Sex Offender Case Histories in Federal Corrections

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===== Research Report =====

**An Examination of Sex Offender
Case Histories in Federal Corrections**

Ce rapport est également disponible en français. Veuillez vous adresser à la Direction des communications du Service correctionnel Canada au 340 Laurier avenue ouest, Ottawa (Ontario) K1A 0P9. Should additional copies be required they can be obtained from the Communications Branch, Correctional Service of Canada, 340 Laurier Avenue West, Ottawa, Ontario K1A 0P9.

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*AN EXAMINATION OF SEX OFFENDER
CASE HISTORIES IN FEDERAL CORRECTIONS*

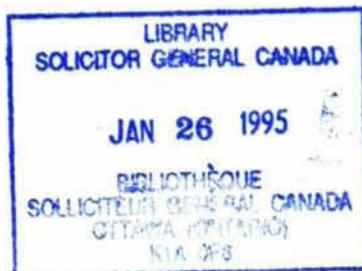
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April, 1993



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EXECUTIVE SUMMARY

A series of reviews by the Correctional Service of Canada (CSC) on programs and services for sex offenders had underscored the fact that a more co-ordinated programming and service strategy was needed in Canadian federal corrections. Moreover, it was strongly recommended that further research on sex offenders be pursued. Therefore, a nationwide 'Sex Offender Population Study' was initiated which had two components: 1) a census identification of all sex offenders and 2) an extensive case-file review of a large sample of sex offenders from across the country. While the first report examined the census data gathered on sex offenders, this report describes the 'Case-file Review' conducted to enhance our knowledge of the nature and characteristics of sex offenders under federal jurisdiction - both in institutions and under community supervision.

The present investigation began with the design and development of a structured case-file review instrument and a set of guidelines for completing a 'Case-file Review Schedule'. This instrument was used to gather case-specific information on the following: demographics, criminal history (i.e., general, juvenile, sex offence), education/employment, marital/family problems, sexual abuse history, mental health, substance abuse and sex offender typology (i.e., victim gender and age preferences).

The design of the 'Case-file Review' involved systematic selection, a modification of simple random sampling, of all sex offenders in CSC operational units (institutions and parole offices) with the exception of federal sex offenders in provincial facilities ($n = 74$), Community Correctional Centres ($n = 33$), females ($n = 1$) and sex offenders located in CSC parole offices with less than 10 cases ($n = 142$). These adjustments to the sex offender population base were made in order to establish a case-file review sample that was logically feasible.

Two sampling frames were employed: 1) sex offenders currently on-register (i.e., institution/day parole) and 2) sex offenders currently under community supervision (i.e., full parole/mandatory supervision). These two frames were used to generate listings of sex offenders for case-file review. After adjustments to both the 'on-register' and 'community' supervision population bases had been made, a total of 2,777 federal sex offenders (2,088 [75.2%] 'on-register' and 689 [24.8%] 'community') served as candidates for sampling.

Both the 'on-register' and 'community' sex offender population bases were first ordered by CSC region (i.e., Atlantic, Quebec, Ontario, Prairies, Pacific) with eligible cases listed by institution or parole office and then by age within operational unit. Case-file review samples were chosen to yield a 5% margin of error for a 95% level of confidence. Of the 2,777 sex offenders originally targeted for sampling, 842 (30.3%) were actually selected in the five CSC regions. The overall completion rate for case-file reviews was 785 (93.2%). The results of the 'Case-file Review' are organized into three sections: 'personal

'background', 'sex offence history' and 'sex offender typology'. Descriptive statistics for the sex offender population are presented with respect to 'institution', 'community' as well as 'overall'. To obtain an 'institution' group those sex offenders identified as being on day parole at the time of file-review were removed from the 'on-register' sample and placed in the 'community' group.

Statistical analyses revealed that the average age at admission for the sex offender population was 34.6 years old. At the time of the case-file review, the average age of the 'institution' sex offender population was found to be significantly younger than that in the 'community' (37.6 and 40.2 years, respectively). While four fifths of the sex offender population was found to be Caucasian, the second largest group were Natives (15%). Another important finding was that nearly 50% of the sex offenders examined were serving sentences of less than four years and roughly 8% of the sex offender population was serving a life sentence.

As a global measure of criminal history, the Statistical Information on Recidivism (SIR) Scale revealed that nearly two thirds of the sex offender population ranged from "good" to "very good" risk. This means that, as a group, sex offenders have experienced relatively less exposure to the criminal justice system than other offenders, which may suggest limitations for the SIR Scale in the determination of release risk. With respect to sex offenders' juvenile records, it was found that a substantial proportion (44.1%) had a history of arrests as juveniles.

A review of the sex offender population's educational background revealed that four fifths had less than grade 12 and one half had less than grade 10. Although the majority of sex offenders had been employed at the time of their current offence, more than one half were found to have been unstable in their employment pattern. In terms of occupation, nearly two thirds of the sex offenders were unskilled labourers. While less than a third of the sex offenders had experienced financial problems during the year prior to their current offence, two thirds of the sex offender population had been relying on social assistance.

The review of marital/family histories found that most of the sex offenders were single at the time of their current offence and that more than a third were reported to have been dissatisfied with their marital status at the time. It is noteworthy that the majority of sex offenders had been separated from their biological parents before age 16. Of those separated from their biological parents, one third had been placed in child welfare agencies and a similar proportion had been placed in training schools. Another important finding was that more than a third of the federal sex offender population had been abused by their parent(s) and/or primary caregiver(s) before the age of 16 years. Upon closer examination, it was found that about one third of the sex offenders had experienced physical abuse and that an equivalent proportion had been subjected to some form of emotional abuse or neglect. Finally, about one half of the sex offenders' parent/primary caregiver(s) were reported to have had alcohol/drug problems.

A closer examination of the sexual abuse histories for sex offenders revealed that one third had experienced sexual abuse before the age of 16. Interestingly, a further breakdown of the sexual abuse histories of sex offenders indicated that among those sex offenders who had been abused, more than three quarters had been abused by males, one quarter had been abused by authority figures and one third had experienced physical aggression by a sexual abuser.

The review of sex offenders' mental health histories found that one third of the sex offender population had suffered severe emotional problems prior to the current offence. It was noteworthy that a third of the sex offenders had received treatment by a mental health professional (i.e., more than one contact) prior to their current offence. Of those sex offenders who had received treatment, nearly 50% had received treatment from a mental health professional in the community. Approximately 20% of the sex offenders had a psychiatric admission in the past.

The substance abuse histories recorded in case-files for sex offenders revealed that they were likely to have had some problems (e.g., occasional minor arguments, assault charges) or interference with life (e.g., health threatened, frequent charges, job loss, marriage breakdown) associated with both alcohol and drug abuse as a teenager and as an adult. It is noteworthy that three quarters of the sex offenders had a history of alcohol abuse and roughly two thirds had a history of drug abuse.

An analysis of the ways in which sex offenders could be identified administratively showed that the majority of sex offenders (87.0%) were currently under sentence for a major admitting sex offence (i.e., 'major' is defined as the offence with the longest sentence). While the majority (69%) of federal sex offenders were serving their first sentence for a sex offence(s), less than a third had been convicted in the past for one or more sex offenses. It was noted that nearly one fifth of the sex offender population was known to have committed sexual offence(s) in the past but were never convicted. As expected, sex offenders in an 'institution' were more likely than sex offenders in the 'community' to be repeat sex offenders, currently under sentence for sexually-related crimes and to have previously committed a sexual offence but never convicted.

A descriptive profile of sex offenders was provided in relation to the most recent victim. The analyses on victimization clearly indicated the majority (90%) of victims were female, slightly more than one third (36.3%) were 12 years of age or younger, and in three out of four cases there was only one victim. We noted that for slightly more than a third of the case-files reviewed, the victims were unknown to the offender.

The nature of sexual offending was examined in relation to three factors: the degree of force used on the victim, the degree of physical injury and the nature of the act perpetrated on the victim. It was found that approximately one third of the sex offenders used physical aggression which could be described as a minor assault (e.g., hit, slap, push). Although the majority of victims (57%) were reported to have suffered no physical injury, 15% had been

treated in a hospital. Nearly all of the sex acts perpetrated against victims involved physical contact. There were less than 2% of cases which involved exhibitionism or spoken contact (e.g., suggestive, propositions). It was found that for one third of the federal sex offender population the sexual act involved penetration or attempted penetration of the victims (e.g., oral, vaginal, anal).

Based on case-file reviewer appraisals of the offence description and victim statement, a distribution of antecedents to or apparent motives involved in the sex offence, found that for the majority of cases (91.0%) the motive was sexual gratification. We also examined sex offender case-files for the offenders' description of antecedents to or apparent motives involved in the sex offence and found that a large percentage of the sex offenders resisted taking full responsibility for their offenses. Many sex offenders claimed that they were innocent (21%) and some said they were either too intoxicated to know better (4.4%) or that their victims had actually consented (4.4%).

A descriptive analysis of the circumstances around their most recent sex offence revealed that, at the time, two thirds of the sex offender population had consumed alcohol, one third had used drugs, one half had planned the offence, two thirds had an alcohol problem, two fifths had a drug problem, and one out of ten had previously undergone sex offender treatment. It would appear that alcohol abuse is a significant problem among this population.

In exploring the complete sex offence history (all known sex offenses including the most recent), the case-file review revealed that two thirds of the federal sex offender population had victims who were 18 years of age or younger and that the overwhelming gender preference was female. A review of the circumstances around the complete sex offence history indicated that a large percentage of sex offenders had admitted responsibility for a previous sex offence and had used alcohol and/or drugs during or immediately prior to a sex offence. While less than one half (38%) of the sex offender population showed a pattern of increasing seriousness or severity of sex offenses over time, 43% had a pattern of increasing rate of sex offenses over time. Of special note, 44% had participated in some form of sex offender treatment program following a sex offence.

Further examinations of gender preference, relationship between the sex offender to the victim and characteristics of the acts perpetrated against victims were conducted separately for child, adolescent and adult victims. Information on child victimization indicated that two thirds of the child victims were either a biological or step-child of the sex offender. It was found that in relatively few cases (one in ten) the sex offender was a stranger to the child victim. With respect to the acts perpetrated against child victims, approximately 85% of the sex offender population had contact with children which involved touching, fondling or rubbing.

Information on adolescent victimization for the sex offender population showed that four fifths of the victims were female. Although one out of three adolescent victims were either a biological or step-child, it was also found that in a third of the cases the adolescent victim

was found to be a stranger to the sex offender. In examining the acts perpetrated against adolescent victims, almost three quarters of the sex offender population had contact with adolescents which involved touching, fondling or rubbing. Nearly a quarter of these offenders were found to have had physical contact which involved sexual penetration or attempted penetration.

Case-file review information on adult victimization revealed that overwhelmingly, adult victims of sex offenders were most often females. For more than half of the cases, the adult victim was a stranger to the sex offender and for about one quarter they were a casual acquaintance. More than a third of the adult victims of sex offenders had contact which involved sexual penetration or attempted penetration.

In sum, the 'Case-file Review' component of the 'Sex Offender Population Study' yielded comprehensive information on the federal sex offender population. While the findings of this study may be limited only to federally sentenced sex offenders, it was learned that this group of offenders could be characterized by the absence of "static" factors (e.g., criminal history) and the presence of "dynamic" or situational/victimization factors (e.g. family situation, intoxication, age-gender sexual preference). This points to a need to standardize a risk assessment process specifically adapted for a sex offender population which would increase our ability to identify those who are likely to experience adjustment difficulties while on conditional release. In keeping with risk management practice, the application of systematic risk/need assessments and reassessments to the sex offender population could provide a useful means of monitoring changes in a sex offenders' behaviour, attitudes and circumstance which are clearly related to 'relapse' or re-offence phenomenon.

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AN EXAMINATION OF SEX OFFENDER CASE HISTORIES IN FEDERAL CORRECTIONS

I. INTRODUCTION

The Working Group on Sex Offender Treatment Review (Ministry of the Solicitor General, 1989) and the Correctional Service of Canada's (CSC) Task Force on Mental Health (CSC, 1990) both recommended that further research be conducted on sex offenders for the purpose of developing and evaluating special treatment programs. Moreover, both of these reviews underscored the fact that a more co-ordinated programming and service strategy was needed.

It is generally recognized that there is insufficient information available in existing automated data bases to provide a comprehensive profile of the number, types and characteristics of sex offenders under federal supervision. While such information is essential for the ongoing development and subsequent evaluation of sex offender assessment and treatment programs, it is also required to assist in the development of strategies to improve the management of re-offence risk in the sex offender population. A broad research strategy was established to ensure that accurate and relevant information would become available on sex offenders under federal supervision.

Some recent research on federal sex offenders in Canada also provided impetus for further investigation. Gordon and Porporino (1990) reported that, in 1989, there were a total of 1,574 sex offenders in Canadian federal penitentiaries. At the time, this figure represented approximately 13% of the total federal inmate population. More importantly, it

represented more than a doubling of the incarcerated sex offender population since 1978. However, as Gordon and Porporino (1990) pointed out, these percentages represented an underestimate of the actual sex offender population under federal supervision. In their study which relied on available automated data, it was possible to report only incarcerated offenders who had their 'major' offence (i.e., the offence which received the longest sentence) classified as sexual according to the criminal code. Consequently, sex offenders with multiple convictions who were serving their longest sentence for non-sex offenses were not included in the statistics. In addition, offenders currently serving sentences for non-sexual offenses but who also had previous convictions for sex offenses; offenders who had committed sexually-related offenses but were convicted for another major offence (i.e., homicide), and sex offenders under community supervision were not reflected in their data.

While it is known that offence characteristics become very salient with respect to estimating the risk of sexual re-offending, available information on sex offending through CSC's automated offender information system offers only criminal code designations and does not clarify the circumstances surrounding the sexual offence (i.e., type, victims, etc.). Therefore, a nationwide 'Sex Offender Population Study' was initiated which had two related components: 1) a census identification of all sex offenders under federal supervision; and 2) an extensive case-file review of a large sample of sex offenders from across the country.

The 'Sex Offender Census' was conducted to accurately identify the number, types and characteristics of federally sentenced sex offenders - both in institutions and under community supervision (Porporino & Motiuk, 1991). A standardized census checklist was administered by case management officers who reviewed sex offenders on their current

caseloads. The census checklist gathered case-specific information such as: status (i.e., current offenses or previous history, details of the current sex offence (i.e., nature of the offence, number of victims, age and sex of victims, degree of injury, degree of force, presence of alcohol or drugs), past history of sexual offenses (i.e., patterns, seriousness) and treatment history (i.e., dates, type/nature, location, sponsors).

The census portion of the 'Sex Offender Population Study' yielded information on 3,066 sex offenders. Preliminary results of the national sex offender census showed that sex offenders made up 14.9% of CSC's total offender population. In addition, it was found that 18.9% of the incarcerated population and 9.9% of the conditional release population were sex offenders.

This, the second component of the 'Sex Offender Population Study', involved a comprehensive case-file review of a large sample of federal sex offenders from across the country. The case-file review portion of the 'Sex Offender Population Study' focused on the collection of detailed information on the personal background of the sex offender population as well as characteristics of the offenses they had committed. This report, which details the methodology employed in the case-file review, is essentially an examination of case histories for sex offenders in federal corrections.

II. DESCRIPTION OF THE CASE-FILE REVIEW INSTRUMENT

The information which resides in CSC's automated offender information system on sex offenders yields little information on the nature and characteristics of sex offenders. Therefore, it was decided that in conjunction with the census identification of sex offenders under federal supervision, a comprehensive case-file review of a large sample of sex offenders would be conducted in order to capture information available only from a systematic review of file documentation.

Development of the Case-file Review Instrument. The case-file review instrument was designed to yield detailed information on the personal background of sex offenders (i.e., demographics, criminal, education/employment, marital/family, sexual abuse, mental health, substance abuse); sexual offending (i.e., most recent, most serious, offence with longest sentence), and typology (i.e., age and gender of victims, degree of force used, motives, treatment history).

The design of the 'Case-file Review' instrument began with a contractor hired to do the data collection while working in close collaboration with CSC research staff. A case-file review instrument was drafted containing approximately 200 questions which had been drawn from a variety of sources. For example, several specialists in the field of sex offender research and treatment were consulted in order to facilitate the conceptual development of the case-file review instrument and to frame suitable questions pertinent to sex offenders. Subsequently, a case-file review instrument was drafted and pre-tested on 75 files. A copy of the final case-file review instrument is included as Appendix A.

III. DESCRIPTION OF THE CASE-FILE REVIEW

A. Sampling Frame

A study sample of sex offender case-files to be reviewed was selected by using CSC's automated offender information system. Two sampling frames were used: 1) the on-register (i.e., institution/day parole) and 2) the community supervision (i.e., full parole/mandatory supervision) listings of current federally sentenced offenders who had been convicted of sex offenses. This procedure resulted in a population base of 3,027 sex offenders under federal jurisdiction. However, a number of adjustments to the population base had to be made in order to establish a case-file review sample that was logistically feasible (i.e., due to time constraints, distance, resources). These adjustments excluded the following: 1) sex offenders located in provincial facilities ($n = 74$); sex offenders in Community Correctional Centres ($n = 33$); female sex offenders ($n = 1$); and sex offenders located in CSC parole offices with less than ten cases ($n = 142$). After these adjustments, a total of 2,777 federal sex offenders [2,088 on-register (75.2%) and 689 on full parole or mandatory supervision (24.8%)] served as candidates for sampling.

B. Sample Size Determination

The determination of sample size depends on the following: 1) design of the study, 2) population size, 3) variability in the target population, 4) desired precision, 5) expected non-response and 6) operational constraints. Relying on the methodology used by CSC to

conduct a national mental health survey of federal inmates (Motiuk & Porporino, 1991), we wished to achieve representativeness among sex offenders for the 'on-register' and 'community' population bases that would be correct within a 5% margin of error with 95% confidence. In Table 1, we present the sample sizes that were calculated for the case-file review.

Table 1.

Sample Size Determination

| STRATUM | POPULATION BASE | SAMPLE SIZE |
|-------------|-----------------|-------------|
| On-register | 2,088 | 504 |
| Community | 689 | 338 |

C. Sampling Procedure

Systematic selection, a modification of simple random sampling, was used as the method to select cases from the on-register and community listings. This procedure entailed selecting individuals through the application of a selection interval so that every 'Ith' offender on the list, following a random start, would be included in the sample.

Selection intervals for the case-file review were determined by simply dividing the adjusted on-register and community population bases by the desired sample size. As Table 2 indicates, the selection intervals were the inverse of the sampling fraction.

Table 2.

Selection Intervals

| STRATUM | SELECTION INTERVAL |
|-------------|--------------------|
| On-register | 4.142 |
| Community | 2.038 |

Thus, two systematic random samples were generated from the on-register and community listings as follows: individuals were first sorted by region, and within each region, by specific location (i.e., prison, parole office) and ascending order of age. This procedure was followed to ensure that there would be proportional representation by region, by location within regions and by age as well. With cases sorted in this fashion, a random starting point was used to begin selection within the range of the sampling interval.

D. Field Work

In order to ensure the quality of the case-specific information being extracted from sex offenders' files, the organization and administration of field work for the case-file review entailed the careful recruitment and selection of reviewers, training in the administration of the coding instrument, on-site reviews of case-files, field supervision and quality control.

File Reviewer Recruitment and Selection. While the recruitment of case-file reviewers was left to the judgement of the consulting firm employed to perform the task of data collection, a variety of personal attributes were considered essential for selecting case-file reviewers. These included: at least an undergraduate degree in criminology or other

social science discipline; work experience in corrections; personal suitability; ability to scan reports with a high degree of comprehension; ability to interpret information and make judgements on the basis of best available information; maturity and security clearance at the enhanced reliability level. While the majority of file reviewers were anglophone, bilingual reviewers (i.e., english/french) were recruited to examine the documentation written in french.

A total of 18 file reviewers were selected to work on the project. Due to time constraints, reviewers not already known to the consulting firm were initially screened on the basis of submitted resumes and telephone interviews. Performance during the training sessions served as a further opportunity for assessing the suitability of potential candidates before the data collection process began.

Training of File Reviewers. The proper training of file reviewers was recognized as critical to gathering comprehensive and reliable data on the sex offender population. Two-day training sessions were held to introduce the purpose and scope of the 'Sex Offender Population Study'; outline the structure of the 'Case-file Review Manual'; identify the relevant sources of information within the case file documentation available on the sex offender population; and gain experience with this data extraction method from the files of sex offenders.

E. File Review Completion Rates

A total of 2,777 sex offenders were targeted as potential candidates for the case-file review. Subsequent to systematic random selection, a total of 842 sex offenders (33.7%) were identified for file review in the CSC institutions and parole offices. Unfortunately, 74 case-files (7%) were found to be no longer available at the settings at the time of the study (i.e., due to relocation, etc.).

In Table 3, we present the obtained overall completion rates for each of the three adjusted population bases. The overall case-file review completion rate (i.e., 'on-register' and 'community' samples combined) was 93.2%. We note that there was little differentiation in the overall case-file review completion rates between the 'on-register' (94.6%) and 'community' (91.1%) samples.

Table 3.

File Review Completion Rates by Stratum

| STRATUM | POPULATION BASE | ADJUSTED BASE | SAMPLE | COMPLETED | |
|--------------|--------------------|------------------|------------|------------|-------------|
| | | | | n | % |
| On-register | 2,196 | 2,088 | 504 | 477 | 94.6 |
| Community | 831 | 689 | 338 | 308 | 91.1 |
| TOTAL | 3,027 | 2,777 | 842 | 785 | 93.2 |

In order to understand the nature and characteristics of sex offenders 'on-register', we sought to review all of the case-files that were sampled for these facilities. In Table 4, we show the file review completion rates for each region. Of those reviewed, the Pacific and Quebec region had the highest completion rates (100.0% and 97.1%, respectively). Overall, the obtained case-file review completion rate for sex offenders 'on-register' was 94.6%

Table 4.

File Review Completion Rates by Region: On-register

| REGIONAL STRATUM | POPULATION BASE | ADJUSTED BASE | SAMPLE | COMPLETED | |
|------------------|-----------------|---------------|------------|------------|-------------|
| | | | | n | % |
| Atlantic | 204 | 182 | 44 | 39 | 88.6 |
| Quebec | 448 | 432 | 104 | 101 | 97.1 |
| Ontario | 552 | 547 | 132 | 125 | 94.7 |
| Prairie | 590 | 535 | 129 | 117 | 90.7 |
| Pacific | 402 | 392 | 95 | 95 | 100.0 |
| TOTAL | 2,196 | 2,088 | 504 | 477 | 94.6 |

In Table 5, we present the case-file review completion rates for sex offenders under 'community' supervision for each region. Although the overall case-file review completion rate (i.e., regional samples combined) was 91.1%, there was some variation in completion rates across the regions.

Table 5.

File Review Completion Rates by Region: Community

| REGIONAL STRATUM | POPULATION BASE | ADJUSTED BASE | SAMPLE | COMPLETED | |
|------------------|-----------------|---------------|------------|------------|-------------|
| | | | | n | % |
| Atlantic | 93 | 64 | 31 | 28 | 90.3 |
| Quebec | 239 | 219 | 108 | 104 | 96.3 |
| Ontario | 177 | 127 | 62 | 53 | 85.5 |
| Prairie | 162 | 132 | 65 | 57 | 87.7 |
| Pacific | 160 | 147 | 72 | 66 | 91.7 |
| TOTAL | 831 | 689 | 338 | 308 | 91.1 |

IV. FINDINGS

The results of the 'Case-file Review' are organized into three sections: 'personal background', 'sex offence history' and 'sex offender typology'. Descriptive statistics for the sex offender population are presented with respect to 'institution', 'community' as well as 'overall'. To obtain an 'institution' or incarcerated grouping, those sex offenders identified as being on day parole at the time of file-review (3%) were removed from the 'on-register' sample and placed in the 'community' group. It should be noted that group sizes (N's) may vary due to incomplete information on case-files.

PERSONAL BACKGROUND:

A. Demographic Characteristics

Table 6 presents a distribution of selected background characteristics for sex offenders in an 'institution' and in the 'community'. As expected, statistical analyses revealed that the average age at admission for sex offenders in an 'institution' did not significantly differ from the 'community' supervision group (Means = 34.7 and 34.6 years, respectively). However, at the time of the case-file review the 'institution' sex offender population was found to be significantly younger than those sex offenders under community supervision (37.7 and 40.1, respectively). While four out of five sex offenders in the case-file review were found to be Caucasian, the next most representative group were natives (15%). Another important finding was that nearly 50% of the sex offenders examined were serving sentences of less

than four years. Roughly 8% of the sex offenders reviewed were serving life sentences.

Table 6.

Percentage Distribution of General Demographics

| Variable | 'Institution' | 'Community' | Overall |
|--|--|---|--|
| | % (n/N) | % (n/N) | % (n/N) |
| Age (in years): at admission at file review | M=34.7 SD=11.2 M=37.7 SD=11.0 | M=34.6 SD=10.5 M=40.1 SD=11.5 | M=34.6 SD=10.9 M=38.6 SD=11.3 |
| Race: Caucasian Native Asian Black Other | 77.4 (352/455) 16.2 (75) 1.3 (6) 3.2 (15) 1.5 (7) | 80.0 (257/319) 11.8 (37) 0.9 (3) 3.1 (10) 3.8 (12) | 78.7 (609/774) 14.5 (112) 1.2 (9) 3.2 (25) 2.5 (19) |
| Sentence Length: less than 2 years 2 to 4 years 5 to 9 years 10+ years Life | 1.1 (5/463) 42.3 (196) 32.6 (151) 14.5 (67) 9.5 (44) | 2.2 (7/322) 52.2 (168) 30.1 (97) 10.3 (33) 5.3 (17) | 1.5 (12/785) 46.4 (364) 31.6 (248) 12.9 (100) 7.8 (61) |

Notes: M = Mean, SD = Standard deviation.

B. Criminal History

General History. The Statistical Information on Recidivism Scale (SIR) reflects a list of 15 risk-related factors found to be significantly associated with the decision to grant or refuse parole (Nuffield, 1982). Given that the majority of risk-related items in the SIR Scale entail criminal history, it also provides a general measure of exposure to the criminal justice system (e.g., previous convictions, incarcerations and revocations).

In Table 7, we present a breakdown of the SIR risk groupings for sex offenders in an 'institution' and in the 'community'. Although the overall risk groupings for nearly two thirds of the sex offender population ranged from "good" to "very good", it was found that the percentage of cases in the poorer risk category was greater for those sex offenders in the 'institution' group ($p < .02$).

Table 7.

Percentage Distribution of Statistical Information on Recidivism (SIR) Scale Groupings

| Variable | 'Institution' | | 'Community' | | Overall | |
|----------------|---------------|---------|---------------|---------|---------------|---------|
| | M=2.3 SD=10.6 | % (n/N) | M=6.0 SD=10.8 | % (n/N) | M=3.7 SD=10.8 | % (n/N) |
| Risk Grouping: | | | | | | |
| Very Poor | 17.8 (61/342) | | 9.1 (18/199) | | 14.6 (79/541) | |
| Poor | 12.3 (42) | | 9.6 (19) | | 11.3 (61) | |
| Fair | 12.3 (42) | | 11.1 (22) | | 11.8 (64) | |
| Good | 19.6 (67) | | 17.6 (35) | | 18.9 (102) | |
| Very Good | 38.0 (130) | | 52.8 (105) | | 43.4 (235) | |

Notes: M = Mean, SD = Standard deviation.

Juvenile Offence History. Upon examination of the sex offenders' juvenile records, it was found that 319 sex offenders (44.1%) had a history of arrests as juveniles. Table 8 presents a breakdown of the type of juvenile offence(s) recorded for the 'institution' and 'community' sex offender groups. Although the types of recorded offence(s) for sex offenders was quite varied, we found that juvenile arrest records were most prevalent among those sex offenders in the 'institution' group and between the ages of 16 and 18.

Table 8.

Percentage Distribution of Juvenile Arrest Records

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|-----------------------------|--------------------------|------------------------|--------------------|
| Juvenile Record: | 49.3 (206/418) | 36.8 (107/291) | 44.1 (319/723) |
| Arrests - under age 16: | | | |
| Violent Offence(s) | 9.5 (15/158) | 7.5 (7/94) | 8.7 (22/252) |
| Sex Offence(s) | 7.6 (12/159) | 5.2 (5/96) | 6.7 (17/255) |
| Other Offence(s) | 51.6 (83/161) | 51.0 (49/96) | 51.4 (132/257) |
| Arrests - age 16 to age 18: | | | |
| Violent Offence(s) | 17.1 (30/176) | 15.2 (15/99) | 16.4 (45/275) |
| Sex Offence(s) | 14.6 (26/178) | 8.8 (9/102) | 12.5 (35/280) |
| Other Offence(s) | 82.6 (147/178) | 73.0 (73/100) | 79.1 (220/278) |

C. Education/Employment History

A look at the education/employment histories of the sex offender population revealed that four out of five sex offenders had less than grade 12 and one half had less than grade 10. In Table 9, we present a breakdown of the education/employment problems for these offenders. Although the majority of sex offenders had been employed at the time of their current offence, more than 50% were found to be unstable in their employment pattern. With respect to type of occupation, the largest proportion of sex offenders (65%) were unskilled labourers during the year prior to their current offence. While less than a third of the sex offenders had experienced financial problems during the year prior to their current offence, two out of three sex offenders had relied on social assistance.

Table 9.

Percentage Distribution of Education/Employment Problems

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|--|--------------------------|------------------------|--------------------|
| Highest school grade completed: | | | |
| less than grade 8 | 28.5 (132/463) | 26.4 (85/322) | 27.6 (217/785) |
| less than grade 10 | 59.8 (277) | 56.2 (181) | 58.3 (458) |
| less than grade 12 | 85.5 (396) | 82.9 (267) | 84.5 (663) |
| Unemployed at time of current offence: | 48.3 (208/431) | 39.4 (119/302) | 44.6 (327/733) |
| Frequently unemployed at time of offence: | 58.4 (201/344) | 46.8 (126/269) | 53.3 (327/613) |
| Occupation during the year prior to current offence: | | | |
| student | 0.9 (3/324) | 0.8 (2/263) | 0.9 (5/587) |
| unskilled labour | 68.5 (222) | 59.7 (157) | 64.6 (379) |
| skilled labour | 7.1 (24) | 18.3 (48) | 12.3 (72) |
| clerical, sales | 4.3 (14) | 1.1 (3) | 2.9 (17) |
| lower management/ supervisory | 8.6 (28) | 9.1 (24) | 8.9 (52) |
| managerial/professional | 2.2 (7) | 3.0 (8) | 2.6 (15) |
| other | 8.0 (26) | 8.0 (21) | 8.0 (47) |
| Financial problems during the year prior to current offence: | 31.1 (93/299) | 28.2 (77/273) | 29.7 (170/572) |
| Reliance on social assistance: | 40.7 (129/317) | 36.0 (96/267) | 38.5 (225/584) |

D. Marital/Family History

The marital/family history of the sex offender population is presented in Table 10. We note that most of the sex offenders were single at the time of their current offence and that more than a third were reported to have been dissatisfied with their marital status at the time.

It is noteworthy that the majority of sex offenders (60%) had been separated from their biological parents before age 16. Of those separated from their biological parents, one third had been placed in child welfare agencies and training schools. Another important finding was that more than a third of the federal sex offenders reviewed had been abused by their parent(s) and/or primary caregiver(s) before the age of 16 years. Upon closer examination, it was found that about one third of the sex offenders had experienced physical abuse and that an equivalent proportion had been subjected to some form of emotional abuse or neglect. Finally, more than 50% of the sex offenders' parent/primary caregiver(s) were reported to have had alcohol/drug problems, 8% had psychiatric problems and 6% had criminal histories.

Table 10.

Percentage Distribution of Marital/Family Problems

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|---|--|---|---|
| Marital status at time of current offence: single | 56.8 (262/461) | 49.1 (157/320) | 53.6 (419/781) |
| Dissatisfied with marital status at the time of current offence: | 38.7 (121/313) | 43.0 (107/249) | 40.6 (228/562) |
| Separated from biological parents before age 16 | 62.2 (265/426) | 55.2 (141/315) | 59.2 (439/741) |
| Placement(s) before the age of 16: child welfare probation training school mental health facility retardation facility | 38.9 (98/252) 13.6 (33/242) 35.7 (87/244) 6.8 (17/252) 1.2 (3/251) | 28.4 (48/169) 4.2 (7/167) 26.5 (45/170) 4.1 (7/171) 0.6 (1/171) | 34.7 (146/421) 9.8 (40/409) 31.9 (132/414) 5.7 (24/423) 1.0 (4/422) |
| Abuse by parent(s) and/or primary caregiver(s) before the age of 16: physical emotional or neglect | 40.4 (152/376) 36.6 (138/377) | 24.8 (67/270) 24.1 (67/278) | 33.9 (219/646) 31.3 (205/655) |
| Parent/primary caregiver(s) had: alcohol/drug problem psychiatric problem criminal history | 56.5 (212/375) 9.7 (30/308) 8.0 (24/300) | 41.2 (107/260) 5.4 (13/239) 3.7 (9/244) | 50.2 (319/635) 7.9 (43/547) 6.1 (3/544) |

E. Sexual Abuse History

Table 11 presents the sexual abuse histories that were recorded. An inspection of Table 11 reveals that sex offenders in an 'institution' were more likely to have experienced sexual abuse before the age of 16 than sex offenders under community supervision ($p < .01$). Interestingly, a further breakdown of the sexual abuse histories of sex offenders indicated that among those sex offenders who had been sexually abused, more than three quarters had been abused by males, one quarter had been abused by authority figures (e.g., teacher, coach, scout leader, Big Brother) and one third had experienced the use of physical aggression by a sexual abuser.

Table 11.

Percentage Distribution of Sexual Abuse Problems

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|--|-----------------------------|---------------------------|-----------------------|
| Victim of sexual abuse: | 33.2 (117/352) | 23.7 (63/246) | 29.1 (180/618) |
| Sex of abuser(s): | | | |
| male | 78.5 (84/107) | 69.0 (40/58) | 75.5 (124/165) |
| female | 13.1 (14) | 20.0 (11) | 15.2 (25) |
| both | 8.4 (9) | 12.0 (7) | 6.7 (16) |
| Relationship of abuser(s) to offender: | | | |
| biological parent | 12.8 (15/117) | 13.3 (8/63) | 12.8 (23/180) |
| step-parent (foster) | 7.5 (9) | 13.3 (8) | 9.4 (17) |
| sibling | 10.8 (13) | 10.0 (6) | 10.6 (19) |
| other relative | 15.0 (17) | 18.3 (12) | 16.1 (29) |
| friend | 1.7 (2) | 1.6 (1) | 1.7 (3) |
| casual acquaintance | 28.8 (22) | 15.9 (10) | 17.8 (32) |
| stranger | 9.4 (11) | 4.8 (3) | 7.8 (14) |
| authority figure | 23.9 (28) | 23.8 (15) | 23.9 (43) |
| Use of physical aggression by any sexual abuser during or as part of any sexual act: | 42.6 (23/54) | 34.5 (10/29) | 39.8 (33/83) |

F. Mental Health History

The mental health histories of both the 'institution' and 'community' sex offender groups are presented in Table 12. We note that there was a statistically significant difference ($p < .001$) between the two groups in relation to having had suffered severe emotional problems prior to their current offence. More specifically, sex offenders in the 'institution' group were more likely to have experienced severe interference when compared to sex offenders in the 'community'. It is noteworthy that a third of the sex offenders had received treatment by a mental health professional (i.e., intervention entails more than one contact) prior to their current offence. Of those sex offenders who had received treatment, nearly 50% had received treatment from a mental health professional in the community. Approximately 20% of the sex offenders had been admitted to a psychiatric hospital or psychiatric ward of general hospital in the past.

Table 12.

Percentage Distribution of Mental Health Problems

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|--|--|---|---|
| Emotional Problems: | 41.0 (181/441) | 27.1 (85/314) | 35.2 (266/755) |
| Treated by mental health professional: | 38.2 (165/432) | 28.5 (88/309) | 34.1 (253/741) |
| Location of treatment: community-based under supervision provincial facility federal facility psychiatric facility develop. handicap. facility | 49.7 (82/165) 7.9 (13) 7.9 (13) 19.4 (32) 14.5 (24) 0.6 (1) | 50.0 (44/88) 12.5 (11) 4.5 (4) 13.6 (12) 17.0 (15) 2.3 (2) | 49.8 (126/253) 9.5 (24) 6.7 (17) 17.4 (44) 15.4 (39) 1.2 (3) |
| Treated by mental health professional while on remand or bail: | 8.1 (35/433) | 10.0 (30/300) | 8.9 (65/733) |
| Admitted to psychiatric hospitals or psychiatric wards of general hospitals: | 20.5 (90/439) | 15.0 (46/307) | 18.2 (136/746) |

G. Substance Abuse History

In Table 13, we present the substance abuse histories recorded in case-files.

Statistical analyses revealed the sex offenders in the 'institution' group were significantly more likely than those in the 'community' to have had some problems (occasional minor arguments, assault charges) or interference with life (i.e., health threatened, frequent charges, job loss, marriage breakdown) associated with both alcohol and drug abuse as a teenager and drug abuse as an adult. It is noteworthy that three quarters of the sex offenders had a history of alcohol abuse and roughly two thirds of the sex offenders had a history of drug abuse as an adult.

Table 13.

Percentage Distribution of Substance Abuse Problems

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|----------------|--------------------------|------------------------|--------------------|
| Alcohol Abuse: | | | |
| As a teenager | 57.3 (203/354) | 44.0 (111/252) | 51.5 (312/606) |
| As an adult | 78.2 (345/441) | 78.0 (241/304) | 78.1 (586/750) |
| Drug Abuse: | | | |
| As a teenager | 50.9 (147/289) | 33.7 (65/208) | 43.7 (217/497) |
| As an adult | 66.8 (233/349) | 53.3 (122/229) | 61.4 (355/578) |

SEX OFFENCE HISTORY:

A. Sex Offender Status

A distribution of the ways in which an individual could be identified as a sex offender in the case-file review is presented in Table 14. As the Table shows, the majority of sex offenders (87.0%) were currently under sentence for a major admitting sex offence (i.e., major is defined as the offence with the longest sentence). While the majority (69%) of federal sex offenders were serving their first sentence for a sex offence(s), less than one third had been convicted in the past for one or more sex offenses. We note that nearly one out of five of the sex offenders were also known to have committed sexual offence(s) in the past but were never convicted.

As expected, sex offenders in the 'institution' group were more likely than sex offenders in the 'community' to have been repeat sex offenders ($p < .001$), currently under sentence for sexually-related crimes ($p < .01$) and to have previously committed a sexual offence but never convicted ($p < .02$).

Table 14.

Percentage Distribution of Sex Offender Status

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|---|--|---|--|
| Currently under sentence for a major admitting sex offence: | 85.3 (405/463) | 89.4 (288/322) | 87.0 (683/785) |
| Currently under sentence for a non-major admitting sex offence: | 14.3 (66/463) | 11.2 (36/322) | 13.0 (102/785) |
| Convicted in the past for one or more sex offenses: Provincial Federal | 36.9 (171/463) 20.7 (96) 20.7 (96) | 22.4 (72/322) 11.8 (38) 13.7 (44) | 31.0 (243/785) 17.2 (134/781) 18.0 (140/779) |
| Currently under sentence for an offence that is not labelled as sexual but which is known to be sexual in nature: | 3.5 (16/463) | 0.6 (2/322) | 2.3 (18/785) |
| Known to have committed a sex offence in the past but were never convicted: | 21.0 (97/463) | 14.0 (45/322) | 18.1 (142/785) |

B. Most Recent Sex Offence

A descriptive profile of sex offenders is provided in relation to the most recent victim. Analyses were also conducted for the most serious sex offence and the sex offence which received the longest sentence. However, these results will not be reported as they do not add to any of the conclusions which are drawn.

For three quarters of the sex offender case-files reviewed, the most recent victim was the only victim. While another 20% were deemed to be the most representative, the remainder (5%) were the most seriously injured victim. A closer look at victim characteristics, the degree of harm to victims, antecedents/motives which led to the sex offence and circumstances around the most recent sex offence follows.

In Table 15, victim information is presented for both the 'institution' and 'community' sex offender groupings. Overwhelmingly, the majority (87%) of victims were female, more than one third (37.3%) were 12 years of age or younger, and in three out of four cases there was one victim. We note that for slightly more than a third of the case-files reviewed, the victims were unknown to the offender.

Table 15.

Percentage Distribution of Victim Characteristics

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|---------------------------|--------------------------|------------------------|--------------------|
| Gender: | | | |
| Male | 14.2 (65/457) | 11.0 (33/319) | 12.9 (100/776) |
| Female | 85.8 (392) | 89.0 (284) | 87.1 (676) |
| Age (years): | | | |
| 0 - 5 | 6.6 (25/377) | 5.9 (16/272) | 6.3 (41/649) |
| 6 - 12 | 32.4 (122) | 29.0 (79) | 31.0 (201) |
| 13 - 18 | 24.7 (93) | 32.7 (89) | 28.0 (182) |
| 19 - 50 | 31.6 (119) | 29.8 (81) | 30.8 (200) |
| 50+ | 4.8 (18) | 2.6 (7) | 3.9 (25) |
| Number of victims: | | | |
| 1 | 73.7 (336/456) | 75.7 (240/317) | 74.5 (576/773) |
| 2 | 12.7 (58) | 14.2 (45) | 13.3 (103) |
| 3+ | 13.6 (62) | 10.1 (32) | 12.2 (94) |
| Relationship to offender: | | | |
| Spouse | 2.9 (13/459) | 1.3 (4/305) | 2.2 (17/764) |
| Biological parent | 1.6 (7) | 1.3 (4) | 1.4 (11) |
| Step-parent | 1.6 (7) | 0.3 (1) | 1.1 (8) |
| Biological child | 10.1 (45) | 12.2 (39) | 11.0 (84) |
| Step-child | 9.4 (42) | 10.0 (32) | 9.7 (74) |
| Sibling | 0.9 (4) | 0.9 (3) | 1.0 (7) |
| Step-sibling | 0.5 (2) | 0.9 (3) | 0.7 (5) |
| Other relative | 8.3 (37) | 4.7 (15) | 6.8 (52) |
| Good friend | 5.4 (24) | 4.7 (15) | 5.1 (39) |
| Supervisory | 5.2 (23) | 5.6 (18) | 5.4 (41) |
| Acquaintance | 16.0 (73) | 20.1 (64) | 17.7 (135) |
| Stranger | 38.2 (170) | 37.9 (121) | 38.1 (291) |

Table 16 presents the degree of force used by sex offenders, degree of physical injury on victims and the nature of the acts perpetrated against victims. With respect to the degree of force, approximately one third of the sex offenders used physical aggression which could

be described as a minor assault (e.g., hit, slap, push). Although the majority of victims (57%) were found to have suffered no physical injury, over 15% had to be treated in a hospital. Nearly all of the acts perpetrated against victims involved physical contact. It is noteworthy that less than 2% of cases involved only exhibitionism or spoken contact (e.g., suggestive, propositions). Another finding was that one third of the cases involved penetration or attempted penetration of the victims (e.g., oral, vaginal, anal).

Table 16.

Percentage Distribution of Victim Harm

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|-----------------------------------|--------------------------|------------------------|--------------------|
| Degree of force: | | | |
| Implied | 15.7 (67/426) | 19.5 (60/305) | 17.4 (127/731) |
| Enticement | 13.6 (58) | 14.4 (44) | 14.0 (102) |
| Threaten/no weapon | 9.2 (39) | 15.1 (46) | 11.6 (85) |
| Threaten/weapon | 15.5 (66) | 10.8 (33) | 13.5 (99) |
| Minor assault | 33.3 (142) | 31.8 (97) | 32.7 (239) |
| Brutal assault | 12.2 (52) | 8.2 (25) | 10.5 (77) |
| Death/no mutilation | 0.2 (1) | 0.0 (0) | 0.1 (1) |
| Death/mutilation | 0.2 (1) | 0.0 (0) | 0.1 (1) |
| Degree of physical injury: | | | |
| None | 55.8 (225/403) | 59.1 (177/297) | 57.4 (402/700) |
| Slight/no weapon | 20.9 (84) | 23.9 (71) | 22.1 (155) |
| Slight/weapon | 5.8 (24) | 3.0 (9) | 4.7 (33) |
| Treated and released | 9.6 (39) | 8.0 (24) | 9.0 (63) |
| Hospitalized | 7.0 (29) | 5.4 (16) | 6.4 (45) |
| Death | 0.2 (1) | 0.0 (0) | 0.1 (1) |
| Death/mutilation | 0.2 (1) | 0.0 (0) | 0.1 (1) |
| Acts perpetrated: | | | |
| Exposure | 0.5 (2/443) | 0.6 (2/315) | 0.5 (4/758) |
| Spoken | 1.4 (6) | 0.3 (1) | 1.3 (7) |
| Touch, fondle, rub | 64.1 (284) | 68.9 (217) | 65.1 (297) |
| Penetration or attempted | 34.0 (151) | 30.2 (95) | 33.1 (151) |

Based on case-file reviewer appraisals of the offence description and victim statement, a distribution of antecedents to or apparent motives involved in the sex offence is presented in Table 17. As we see in Table 17, for the majority of cases (91.0%) the antecedent to or apparent motive for the sex offence was sexual gratification.

Table 17.

Percentage Distribution of Antecedents/motives Assessed by Reviewers

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|--------------------------|--------------------------|------------------------|--------------------|
| Type: | | | |
| Sexual gratification | 92.6 (387/418) | 88.9 (273/307) | 91.0 (660/725) |
| Jealousy | 0.5 (2) | 0.0 (0) | 0.3 (2) |
| Rejection | 1.2 (5) | 4.9 (15) | 2.8 (20) |
| Heated argument | 1.4 (6) | 0.3 (1) | 1.0 (7) |
| Revenge | 1.4 (6) | 1.3 (4) | 1.4 (10) |
| Robbery | 1.7 (7) | 2.9 (9) | 2.2 (16) |
| Delusions/hallucinations | 0.2 (1) | 0.3 (1) | 0.3 (2) |
| Claims innocence | 0.0 (0) | 0.3 (1) | 0.1 (1) |
| Intoxicated | 0.5 (2) | 0.3 (1) | 0.4 (3) |
| Claims victim consented | 0.2 (1) | 0.0 (0) | 0.1 (1) |
| Other | 0.2 (1) | 0.7 (2) | 0.4 (3) |

We also examined sex offender case-files for the offenders' description of antecedents to or apparent motives involved in the sex offence (see Table 18). While one half of the sex offenders reported that sexual gratification was the antecedent to or apparent motive for the sex offence, more than one out of five (21%) cases had claimed that they were innocent.

Table 18.

Percentage Distribution of Antecedents/motives Reported by Offenders

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|--------------------------|--------------------------|------------------------|--------------------|
| Type: | | | |
| Sexual gratification | 47.0 (174/370) | 55.6 (158/284) | 50.8 (332/654) |
| Jealousy | 0.8 (3) | 0.4 (1) | 0.6 (4) |
| Rejection | 2.2 (8) | 4.2 (12) | 3.1 (20) |
| Heated argument | 1.4 (5) | 0.4 (1) | 0.9 (6) |
| Revenge | 1.6 (6) | 2.1 (6) | 1.8 (12) |
| Robbery | 2.4 (9) | 3.2 (9) | 2.8 (18) |
| Delusions/hallucinations | 1.1 (4) | 1.4 (4) | 1.2 (8) |
| Sex education | 0.5 (2) | 0.4 (1) | 0.5 (3) |
| Claims innocence | 25.7 (95) | 16.9 (48) | 21.9 (143) |
| Intoxicated | 4.9 (18) | 3.9 (11) | 4.4 (29) |
| Angered | 1.9 (7) | 0.0 (0) | 1.1 (7) |
| Marital problem | 0.0 (0) | 1.1 (3) | 0.5 (3) |
| Claims victim consented | 4.1 (15) | 4.9 (14) | 4.4 (29) |
| Can't explain | 1.4 (5) | 0.4 (1) | 0.9 (6) |
| Doesn't remember | 2.7 (10) | 2.1 (6) | 2.5 (16) |
| Emotional need | 1.1 (4) | 1.1 (3) | 1.1 (7) |
| Not perceived wrong | 0.5 (2) | 0.7 (2) | 0.6 (4) |
| Other | 0.8 (3) | 1.4 (4) | 1.1 (7) |

In Table 19, we present the circumstances around the most recent sex offence recorded in the case-files for both the 'institution' and 'community' sex offender groups. Descriptive analyses revealed that at the time of the most recent sex offence, two thirds of the sex offender population had consumed alcohol, one third had used drugs, one half had planned the offence, two thirds had an alcohol problem, two fifths had a drug problem, and one out of ten had previously undergone sex offender treatment. This pattern of results remained consistent for both the 'institution' and 'community' sex offender population.

Table 19.

Percentage Distribution of Circumstances Around Most Recent Sex Offence

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|---|--------------------------|------------------------|--------------------|
| Consumed alcohol at time | 69.7 (262/376) | 67.8 (196/289) | 68.9 (458/665) |
| Used drugs at the time | 31.8 (112/352) | 26.7 (74/186) | 29.6 (186/629) |
| Planned sex offence | 48.8 (184/377) | 49.8 (139/279) | 49.2 (323/656) |
| Alcohol problem at time | 71.3 (316/443) | 63.5 (198/312) | 68.1 (514/755) |
| Drug problem at the time | 46.6 (197/423) | 31.9 (96/301) | 40.5 (293/724) |
| Receiving mental health treatment at the time | 5.2 (23/446) | 4.2 (13/313) | 4.7 (36/759) |
| Receiving sex offender treatment prior to offence | 13.3 (60/450) | 7.3 (23/317) | 10.8 (83/767) |

C. Complete Sex Offence History

In exploring the complete sex offence history (all known sex offenses including the most recent sex offence), the case-file review revealed that two thirds of the sex offender population had victims who were 18 years of age or younger and for more than four fifths the gender preference was female (see Table 20). A case-file review of the circumstances around the complete sex offence history showed that the majority of sex offenders had admitted responsibility for a previous sex offence and had used alcohol and/or drugs during or immediately prior to a sex offence. While about 38% of the sex offender population demonstrated a pattern of increasing seriousness or severity of sex offenses over time, 43% had a pattern of increasing rate of sex offenses over time. Interestingly, 44% had received sex offender treatment following a sex offence.

Table 20.

Percentage Distribution of Complete Sex Offence History

| Variable | 'Institution' % (n/N) | 'Community' % (n/N) | Overall % (n/N) |
|--|--------------------------|------------------------|--------------------|
| Victim age-gender: | | | |
| newborn - 3 yrs. female | 1.7 (8/463) | 2.5 (8/322) | 2.0 (16/785) |
| newborn - 3 yrs. male | 0.4 (2) | 0.4 (2) | 0.5 (4) |
| 4 - 8 yrs. female | 15.6 (72) | 12.7 (41) | 14.4 (113) |
| 4 - 8 yrs. male | 4.8 (22) | 2.5 (8) | 3.8 (30) |
| 9 - 12 yrs. female | 13.2 (61) | 13.7 (44) | 13.4 (105) |
| 9 - 12 yrs. male | 2.8 (13) | 3.7 (12) | 3.2 (25) |
| 13 - 15 yrs. female | 10.4 (48) | 13.7 (44) | 11.7 (92) |
| 13 - 15 yrs. male | 2.2 (10) | 2.5 (8) | 2.3 (18) |
| 16 - 18 yrs. female | 10.2 (47) | 9.9 (32) | 10.1 (79) |
| 16 - 18 yrs. male | 0.0 (0) | 0.3 (1) | 0.1 (1) |
| 19 - 49 yrs. female | 30.2 (139) | 30.8 (99) | 30.3 (238) |
| 19 - 49 yrs. male | 0.9 (4) | 1.0 (3) | 0.9 (7) |
| over 50 yrs. female | 3.0 (14) | 2.2 (7) | 2.7 (21) |
| over 50 yrs. male | 0.0 (0) | 0.0 (0) | 0.0 (0) |
| age-gender unclear | 5.0 (23) | 4.0 (13) | 4.6 (36) |
| Admits responsibility | 54.4 (243/447) | 56.4 (176/312) | 55.2 (419/759) |
| Used alcohol/drug | 79.7 (310/389) | 72.8 (211/290) | 76.7 (521/679) |
| Increasing seriousness | 43.0 (131/305) | 30.0 (59/197) | 37.9 (190/502) |
| Increasing rate | 49.7 (145/292) | 33.3 (61/183) | 43.4 (206/475) |
| Received sex offender treatment after an offence | 39.9 (180/451) | 49.8 (159/319) | 44.0 (339/770) |

SEX OFFENDER TYPOLOGY:

A closer examination of age-gender preferences, relationship between the sex offender to the victim and characteristics of the acts perpetrated against victims are presented separately for child, adolescent and adult victims.

A. Child Victims

In Table 21, child victim information is presented for both the 'institution' and 'community' sex offender groupings. While two thirds of the child sex offenders had female victims, two out of three child victims were either a biological or step-child. We note that in one out of ten cases the child victim was found to be a stranger to the sex offender. With respect to the acts perpetrated against child victims, approximately 85% of the sex offenders had physical contact with children which involved touching, fondling or rubbing. Overall, 14% of the sex offenders were found to have had physical contact with children which involved sexual penetration or attempted penetration of the victims (e.g., oral, vaginal, anal).

Table 21.

Percentage Distribution of Child Victim Characteristics

| Variable | 'Institution' | 'Community' | Overall |
|--|---------------|---------------|---------------|
| Gender of child victim(s): | | | |
| male | 14.6 (25/171) | 14.8 (16/108) | 14.7 (41/279) |
| female | 62.6 (107) | 72.2 (78) | 66.3 (185) |
| both | 22.8 (39) | 13.0 (16) | 19.0 (53) |
| Relationship of child victim(s) to offender: | | | |
| biological child | 30.2 (51/173) | 41.1 (44/103) | 34.4 (95/276) |
| step-child (foster) | 27.2 (46) | 22.4 (24) | 25.4 (70) |
| other relative | 11.2 (19) | 10.3 (11) | 10.9 (30) |
| good friend | 1.8 (3) | 0.0 (0) | 1.1 (3) |
| supervisory | 9.5 (16) | 5.6 (6) | 8.0 (22) |
| casual acquaintance | 10.7 (18) | 9.4 (10) | 10.1 (28) |
| stranger | 9.5 (16) | 11.2 (12) | 10.1 (28) |
| Acts perpetrated against child victim(s): | | | |
| Spoken (suggestive, etc) | 0.6 (1/168) | 1.9 (2/107) | 1.1 (3/275) |
| Exhibitionism | 0.0 (0) | 1.9 (2) | 0.7 (2) |
| Physical (touch, fondle, rub) | 87.5 (147) | 80.4 (86) | 84.7 (233) |
| Sexual penetration or attempt | 11.9 (20) | 15.9 (17) | 13.5 (37) |

B. Adolescent Victims

Adolescent victim information for both the 'institution' and 'community' sex offender groups is presented in Table 22. We note that four fifths of the adolescent victims were female. Although one out of three adolescent victims were either a biological or step-child, it was also found that in a third of the cases the adolescent victim was found to be a stranger to the sex offender. In relation to the acts perpetrated against adolescent victims, almost three quarters of the sex offenders had physical contact with adolescents which involved

touching, fondling or rubbing. Nearly a quarter of these offenders were found to have had physical contact which involved sexual penetration or attempted penetration.

Table 22.

Percentage Distribution of Adolescent Victim Characteristics

| Variable | 'institution' | 'Community' | Overall |
|---|---------------|---------------|---------------|
| Gender of adolescent victim(s): | | | |
| male | 16.4 (33/201) | 13.2 (19/144) | 15.1 (52/345) |
| female | 79.6 (160) | 82.6 (119) | 80.9 (279) |
| both | 4.0 (8) | 4.2 (6) | 4.1 (14) |
| Relationship of adolescent victim(s) to offender: | | | |
| biological child | 21.1 (42/200) | 21.7 (31/143) | 21.4 (72/337) |
| step-child (foster) | 11.3 (24) | 12.6 (18) | 11.9 (40) |
| other relative | 9.3 (18) | 4.9 (7) | 7.4 (25) |
| good friend | 2.1 (4) | 1.4 (2) | 1.8 (6) |
| supervisory | 7.2 (15) | 8.4 (12) | 7.7 (26) |
| casual acquaintance | 21.1 (41) | 17.5 (25) | 19.6 (66) |
| stranger | 27.8 (56) | 33.6 (48) | 30.3 (102) |
| Acts perpetrated against adolescent victim(s): | | | |
| Spoken (suggestive, etc) | 1.1 (2/191) | 0.0 (0/141) | 0.6 (2/332) |
| Exhibitionism | 1.1 (2) | 2.8 (4) | 1.8 (6) |
| Physical (touch, fondle, rub) | 71.7 (137) | 72.3 (102) | 72.0 (239) |
| Sexual penetration or attempt | 26.2 (50) | 24.8 (35) | 25.6 (85) |

C. Adult Victims

Adult victim information for both the 'institution' and 'community' sex offender groups is presented in Table 23. Overwhelmingly, adult victims of sex offenders were most often females. For more than half of the cases, the adult victim was a stranger to the sex

offender and for about one quarter they were a casual acquaintance. More than a third of the adult victims of sex offenders had physical contact which involved sexual penetration or attempted penetration.

Table 23.

Percentage Distribution of Adult Victim Characteristics

| Variable | 'Institution' | 'Community' | Overall |
|--|---------------|-------------|--------------|
| Gender of adult victim(s): | | | |
| male | 3.6 (9/247) | 3.1 (5/160) | 3.4 (14/407) |
| female | 96.0 (237) | 95.6 (153) | 95.8 (390) |
| both | 0.4 (1) | 1.3 (2) | 0.7 (3) |
| Relationship of adult victim(s) to sex offender: | | | |
| biological parent | 0.4 (2/244) | 0.6 (1/156) | 0.5 (2/400) |
| step-parent | 0.0 (0) | 0.6 (1) | 0.3 (1) |
| sibling | 2.0 (5) | 1.3 (2) | 1.8 (7) |
| biological child | 1.2 (3) | 2.6 (4) | 1.8 (7) |
| step-child | 0.8 (2) | 0.6 (1) | 0.8 (3) |
| other relative | 4.9 (12) | 2.6 (4) | 4.0 (16) |
| good friend | 7.0 (17) | 7.7 (12) | 7.3 (29) |
| casual acquaintance | 23.0 (56) | 28.9 (45) | 25.3 (101) |
| stranger | 54.5 (134) | 52.6 (78) | 54.0 (216) |
| spouse | 5.3 (13) | 1.9 (3) | 4.0 (16) |
| patient | 0.0 (0) | 0.6 (1) | 0.3 (1) |
| supervisor/teacher | 0.4 (1) | 0.0 (0) | 0.3 (1) |
| Acts perpetrated against adult victim(s): | | | |
| Spoken (suggestive, etc) | 1.7 (4/241) | 0.0 (0/149) | 1.0 (4/390) |
| Exhibitionism | 1.2 (3) | 0.7 (1) | 1.0 (4) |
| Physical (touch, fondle, rub) | 53.1 (128) | 67.8 (101) | 58.7 (229) |
| Sexual penetration or attempt | 44.0 (106) | 31.5 (47) | 39.2 (153) |

V. DISCUSSION

The purpose of the 'Case-file Review' component of the 'Sex Offender Population Study' was to enhance our knowledge of the nature and characteristics of sex offenders under federal jurisdiction - both in institutions and under community supervision.

The Case-file Review of the federal sex offender population began with the design and development of a structured case-file review instrument and a set of guidelines for reviewing documentation. This instrument was used to gather case-specific information on the following: demographics, criminal history (i.e., general, juvenile, sex offence), education/employment, marital/family problems, sexual abuse history, mental health, substance abuse and sex offender typology (i.e., gender and age preferences).

The design of the 'Case-file Review' involved systematic selection, a modification of simple random sampling, of all sex offenders in CSC operational units (institutions and parole offices) with the exception of federal sex offenders in provincial facilities, Community Correctional Centres, females and sex offenders located in CSC parole offices with less than 10 cases. These adjustments to the sex offender population base were made in order to establish a case-file review sample that was logically feasible. Case-file review sample were chosen to yield a 5% margin of error for a 95% level of confidence. Of the 2,777 sex offenders originally targeted for sampling, 842 (30.3%) were actually selected in the five CSC regions. The overall completion rate for case-file reviews was 785 (93.2%).

The results of the 'Case-file Review' were organized into three sections: 'personal background', 'sex offence history' and 'sex offender typology'. Descriptive statistics were

presented with respect to those in an 'institution' and in the 'community' as well as combined.

Statistical analyses revealed that the average age at admission for the sex offender population was 34.6 years old. At the time of the case-file review, the average age of the 'institution' sex offender population was found to be significantly younger than those under 'community' supervision (37.6 and 40.2 years, respectively). While four fifths of the sex offender population was found to be Caucasian, the second largest group were Natives (15%). Another important finding was that nearly 50% of the sex offenders examined were serving sentences of less than four years and roughly 8% of the sex offender population was serving a life sentence.

An analysis of Statistical Information on Recidivism (SIR) Scale groupings revealed that nearly two thirds of the sex offender population ranged from "good" to "very good" risk. This finding that sex offenders have experienced relatively less exposure to the criminal justice system than other offenders suggests some limitations may be placed on the SIR Scales' utility in the determination of release risk for sex offenders.

A systematic review of the case file documentation yielded some important information. It is noteworthy that 44% of the sex offender population had a juvenile record, 85% had not completed high school, 53% had an unstable employment record, 65% were employed as unskilled labourers, 35% had been placed by child welfare agencies, 33% had a history of parental abuse, 30% had been a victim of sexual abuse, 35% had emotional problems, 20% had been admitted to a psychiatric hospital in the past, 78% showed indications of alcohol problems, and 61% showed signs of drug problems. It would appear

that sex offenders may indeed form a relatively high risk/high need group of offenders.

Information on victimization revealed that approximately one third of the sex offenders used physical aggression which could be described as a minor assault (e.g., hit, slap, push) and 10% could be described as a brutal assault (e.g., wounding, maiming). Although the majority of victims (57%) were reported to have suffered no physical injury, 15% had to be treated in a hospital. While nearly all of the sex acts perpetrated against victims involved physical contact, there were less than 2% of cases which involved only exhibitionism or spoken contact (e.g., suggestive, propositions). It was found that one third of the cases involved penetration or attempted penetration of the victims (e.g., oral, vaginal, anal).

Based on file reviewer appraisals of motive, for the majority of cases (91.0%) it was deemed to be sexual gratification. On the other hand, offenders' description of antecedents to or apparent motives involved in the sex offence yielded a substantial proportion of sex offenders who resisted taking full responsibility for their offenses. Many sex offenders claimed that they were innocent (21%) and some said they were either too intoxicated to realize what they were doing (4.4%) or that their victims had actually consented (4.4%).

Descriptive analyses of the circumstances around their most recent sex offence revealed that, at the time, two thirds of the sex offenders had consumed alcohol, one third had used drugs, one half had planned the offence, two thirds had an alcohol problem, two fifths had a drug problem, and one out of ten had previously undergone sex offender treatment. Again, it would appear that alcohol abuse is frequently a problem among this population.

In exploring the complete sex offence history (all known sex offenses including the most recent), the review revealed that two thirds of the federal sex offender population had victims who were 18 years of age or younger and that the overwhelming gender preference (four fifths) was female. A further finding of the complete sex offence history was a large percentage of sex offenders who had admitted responsibility for a previous sex offence and had used alcohol and/or drugs during or immediately prior to a sex offence. While less than one half of the sex offender population showed a pattern of increasing seriousness or severity of sex offenses over time, more than a third had a pattern of increasing rate of sex offenses over time. Of special note, a substantial portion of the sex offender population (44%) had participated in some form of sex offender treatment program following a sex offence.

Further examinations of gender preference, relationship between the sex offender to the victim and characteristics of the acts perpetrated against victims were conducted separately for child, adolescent and adult victims. Information on child victimization indicated that two thirds of the child victims were either a biological or step-child of the sex offender. It was found that in relatively few cases (one in ten) the sex offender was a stranger to the child victim. With respect to the acts perpetrated against child victims, approximately 85% of the sex offender population had physical contact with children which involved touching, fondling or rubbing.

Information on adolescent victimization for the sex offender population showed that four fifths were female. Although one out of three adolescent victims were either a biological or step-child, it was also found that in a third of the cases the adolescent victim was found to be a stranger to the sex offender. In examining the acts perpetrated against

adolescent victims, almost three quarters of the offenders had physical contact with adolescents which involved touching, fondling or rubbing. Nearly a quarter of these offenders were found to have had physical contact which involved sexual penetration or attempted penetration.

Case-file review information on adult victimization revealed that overwhelmingly, adult victims of sex offenders were most often females. For more than half of the cases, the adult victim was a stranger to the sex offender and for about one quarter they were a casual acquaintance. More than a third of the adult victims of sex offenders had physical contact which involved sexual penetration or attempted penetration.

In sum, the 'Case-file Review' component of the 'Sex Offender Population Study' yielded comprehensive information on the federal sex offender population. While the findings of this study may be limited only to currently sentenced federal sex offenders, it was learned that this group of offenders could be characterized by the absence of "static" factors (e.g., criminal history) and the presence of "dynamic" or situational/victimization factors (e.g. family situation, intoxication, age-gender sexual preference). This points to a need to standardize a risk assessment process specifically adapted for a sex offender population which would increase our ability to identify those who are likely to experience adjustment difficulties while on conditional release. In keeping with case management practice, the application of systematic risk/need assessments and reassessments to the sex offender population could provide a useful means of monitoring changes in a sex offenders' behaviour, attitudes and circumstance which are clearly related to 'relapse' or re-offence phenomenon.

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VII. APPENDIX A

CORRECTIONAL SERVICE CANADA

**File Review Manual
for the
Federal Sex Offender Population Study**

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CASE TRACKING

Operational Unit

Code

Name of Unit _____

1 Case Number: _____

2 FPS Number: _____

3 Coding Date: __/__/__ (yy/mm/dd)

PART I: BACKGROUND OF OFFENDER

| A: Offender Demographics | Instructions/Comments |
|--|---|
| 4. Date of Birth (yy/mm/dd): ___/___/___ | |
| 5. Race | <p>5.i) Code only one category</p> <p>ii) If it is not possible to determine whether the offender is a status or non-status aboriginal, code as other and specify aboriginal.</p> |
| 1. Caucasian 2. Asian 3. Inuit 4. Aboriginal - Status 5. Aboriginal - Non-status 6. Metis 7. Black 8. Other (specify) _____ 9. Not known | |
| 6. Preferred working language | 6. Code only one category |
| 1. English 2. French 3. Native Languages 8. Other (specify) _____ 9. Not known | |
| B: Education/Employment History | |
| 7. Highest school grade completed at time of current offence <input type="text"/> <input type="text"/> 99 Not known | <p>7. i) Code highest grade as a 2 digit number (e.g. 08)</p> <p>ii) Code highest grade completed up to the end of high school, including upgrading.</p> |

| | | |
|-----|---|---|
| 8. | College or university prior to current offence | 8. Code "yes" for some university or college (post secondary school education). |
| 1. | Yes | |
| 2. | No | |
| 9. | Not known | |
| 9. | Employment status at the time of the current offence | 9. Code only one category. |
| 1. | Employed | |
| 2. | Unemployed | |
| 3. | Student | |
| 4. | Disability/Retired | |
| 5. | Incarcerated | |
| 8. | Other (specify) _____ | |
| 9. | Not Known | |
| 10. | Frequently unemployed during the year prior to current offence | 10. i) Code "yes" if known accumulated number of months unemployed is greater than 6 months or record of significant or problematic amount of unemployment. |
| 1. | Yes | |
| 2. | No | |
| 8. | Not applicable | |
| 9. | Not known | |
| | | ii) Code na if offender was unemployed because he was a student or incarcerated. |

| | |
|--|--|
| 11. Occupation during the year prior to current offence | 11. i) Report highest level achieved. ii) Code na if offender was unemployed because he was incarcerated. 1. Student. 2. Semi-skilled or unskilled labour (construction, factory, cashier) 3. Skilled labour (mechanic, plumber, machinist) 4. Clerical, sales (insurance, auto dealer, computer) 5. Lower management/supervisory (foreman, store manager, self-employed, small businessman) 6. Managerial, professional (executive, teacher, doctor, lawyer) 7. Unemployed/Welfare 8. Retired/Disability 88. Other (specify) _____ 98. Not applicable 99. Not known |
| 12. Financial problems during the year prior to current offence | 12. i) For example, default on loans, overextended credit, unable to meet payments. ii) Code na if offender incarcerated. 1. Yes 2. No 8. Not applicable 9. Not know |
| 13. Reliance on social assistance during the year prior to current offence | 13. i) For example, unemployment benefits, welfare, disability pension. ii) Code na if offender incarcerated. 1. Yes 2. No 8. Not applicable 9. Not known |
| 14. Longest period of time continuously employed in months □□□ (-99) Not known | 14. i) If offender changes jobs for better pay and/or position, count as one period. ii) Code number of months as a three digit number. |

C: Marital/Family History

15. A social isolate at the time of current offence

- 1. Yes
- 2. No
- 9. Not known

15. Code "yes" if offender lacked significant and warm relationship with a non-relative; offender has been described as, or has expressed having difficulty in meeting and maintaining friends, being unable to get along with others, disregarding feelings and expectations of others or expressing extreme independence.

16. Living situation at the time of current offence

- 1. Alone and transient (temporary shelter with different acquaintances, YMCA)
- 2. Alone and stable (own apartment or rooms)
- 3. With parent and/or sibling
- 4. With wife (include common-law relationship if 6 months)
- 5. With roommates/friends (sharing apartment)
- 6. With partner in heterosexual relationship
- 7. With partner in homosexual relationship
- 8. Supervised group home (halfway house)
- 9. With other family/relative
- 10. Incarcerated
- 88. Other (specify) _____
- 99. Not known

17. Marital status at the time of current offence

17. Code only one status.

- 1. Single/never married
- 2. Common-law union (relationship of more than 6 months)
- 3. Married
- 4. Separated
- 5. Divorced
- 6. Widowed
- 9. Not known

- | | |
|--|--|
| <p>18. Dissatisfaction with marital status (indicated in item 17) at the time of current offence</p> <ol style="list-style-type: none"> 1. Yes 2. No 9. Not known <p>19. Total number of marriages/common-law relationships, up to the time of current offence <input type="text" value="11"/></p> <ol style="list-style-type: none"> 9. Not known <p>20. Lived with both biological parents to age 16</p> <ol style="list-style-type: none"> 1. Yes 2. No 9. Not known <p>21_1 Reason(s) for separation from biological parents before age 16 21_2 21_3</p> <ol style="list-style-type: none"> 1. Death of a parent 2. Parental divorce or separation 3. Parental institutionalization (significant incarceration, psych. commitment) 4. Offender institutionalization (training school, group home) 5. Employment 6. Left/expelled from home 7. In care of other family members 8. Foster home/family 9. Biological father not known 10. Adoption 11. Boarding/resid. school 12. Poor family environment 13. Abandoned by parent(s) 88. Other (specify) _____ 98. Not applicable 99. Not known | <p>18. Offender expresses wish to separate from spouse, depressed about loneliness of being single, angered by divorce.</p> <p>19. i) Include in the total, relationship at time of current offence. ii) Code as a 2 digit number.</p> <p>21. i) Code all that apply ii) Code na if no separation.</p> |
|--|--|

| | |
|--|--|
| 22. Age of first separation from biological parents □ □ | 22. i) Code as a 2 digit number. ii) Code na if no separation. |
| 98. Not applicable (no separation) 99. Not known | |
| 23. Placement(s) before the age of 16 A) Child welfare placement 1. Yes 2. No 8. N/A 9. Not known B) Probation placement 1. Yes 2. No 8. N/A 9. Not known C) Training school 1. Yes 2. No 8. N/A 9. Not known D) Mental health facility 1. Yes 2. No 8. N/A 9. Not known E) Retardation facility 1. Yes 2. No 8. N/A 9. Not known | 23. i) Code Yes, No or Not known to each of the following to indicate if the offender was placed out of his home in the following settings prior to the age of 16.) ii) Code na if no separation. |
| N/A Not applicable | |
| 24. Physical abuse of the offender by parent(s) and/or primary caregiver(s) before the age of 16 1. Yes 2. No 9. Not known | 24. i) Example of physical abuse are frequent slapping, beating, whipping and/or severe enough as to cause injury. ii) Primary caregiver(s) includes step-parent, foster parent, group home supervisor. |
| 25. Source of report that the offender was a victim of physical abuse before the age of 16 1. Offender's self report 2. Offender's self report corroborated by official documentation (police records, court report, social agency report such as Children's Aid referral) or official documentation only 8. Not applicable | 25. Code na if offender not physically abused by parent(s) and/or primary caregiver(s). |

- | | |
|--|--|
| <p>26. Emotional abuse or neglect of the offender by parent(s) and/or primary caregiver(s) before the age of 16.</p> <ol style="list-style-type: none"> 1. Yes 2. No 9. Not known | <p>26. i) Examples of emotional abuse are frequent and/or severe verbal abuse, lock in closet, abandonment.</p> <p>ii) Examples of neglect are failure to meet basic needs such as malnutrition, seek critical medical help, put child's life in danger</p> <p>iii) step-parent, foster parent, group home supervisor.</p> |
| <p>27. Source of report that the offender was a victim of emotional abuse or neglect abuse before the age of 16</p> <ol style="list-style-type: none"> 1. Offender's self report 2. Offender's self report corroborated by official documentation (police records, court report, social agency report such as Children's Aid referral) or official documentation only 8. Not applicable | <p>27. Code na if offender not emotionally abused or neglected by parent(s) and/or primary caregivers.</p> |
| <p>28. Parent/primary caregiver had an alcohol and/or drug abuse problem</p> <ol style="list-style-type: none"> 1. Yes 2. No 9. Not known | <p>28. Examples of problems are situations in which alcohol and/or drug use is frequent and/or severe enough as to threaten health, cause severe behaviour change, social/occupational problems, repeated charges/convictions or admission to addiction program.</p> |
| <p>29. Parent/primary caregiver had a psychiatric problem</p> <ol style="list-style-type: none"> 1. Yes 2. No 9. Not known | <p>29. Examples of psychiatric problems include prescription of psychiatric medication, involved in therapy with psychologist, serious suicide attempts, psychiatric admissions.</p> |

30. Parent/primary caregiver had a criminal history

- 1. Yes
- 2. No
- 9. Not known

30. Criminal history is understood as formal charges and convictions.

D: Offender's Sexual Abuse History

In this section, sexual abuse is defined as sexual acts which were committed against the offender before the age of 16 where the abuser was at least 5 years older than the offender.

It is critical that the files be examined thoroughly to gather information regarding sexual abuse to the offender.

31. Victim of sexual abuse before the age of 16

- 1. Yes
- 2. No
- 9. Not known

If answered No or Not known to item 31, skip to item 38

32. Source of report that the offender was a victim of sexual abuse before the age of 16

- 1. Offender's self report
- 2. Offender's self report corroborated by official documentation (police records, court report, social agency report such as Children's Aid referral) or official documentation only
- 8. Not applicable
- 9. Not known

33. Age of offender when sexual abuse began

 □ □

98. Not applicable

| | |
|---|--|
| 34. Duration of sexual abuse in months | 34. i) Code 001 for repeated sexual abuse which was 1 month or less in duration. ii) Code na when there was only 1 abusive contact. |
| <p>□□□</p> <ul style="list-style-type: none"> -98. Not applicable -99. Not known | |
| 35. Sex of abuser(s) | |
| <ul style="list-style-type: none"> 1. Male 2. Female 3. Both 8. Not applicable 9. Not known | |
| <p>36_1 Relationship of abuser(s) to offender 36_2 36_3</p> <ul style="list-style-type: none"> 1. Biological parent 2. Step-parent (foster parent) 3. Sibling 4. Other relative (aunt, uncle, cousin, grandparent) 5. Friend 6. Casual acquaintance 7. Stranger (no previous contact) 8. Authority figure (teacher, coach, scout leader, Big Brother) 98. Not applicable | <p>36. Code all that apply.</p> |
| <p>37. Use of physical aggression by any sexual abuser during or as part of any sexual act</p> <ul style="list-style-type: none"> 1. Yes 2. No 8. Not applicable 9. Not known | <p>37. Examples of physical aggression include physical injury, restraining, choking, hitting, threatened use of weapon</p> |

E: Offender's Mental Health History

38. Emotional problems interfered severely with the offender's life prior to the current offence

- 1. Yes
- 2. No
- 9. Not known

38. Examples of severe interference include consulted mental health professional for help, received psychiatric medication, serious attempts at suicide, admission to psychiatric facility).

39a-1 Offender received treatment by a mental health professional

39a-2 prior to current offence

39a-3

- 1. No
- 2. Yes, in the community
- 3. Yes, in the community under supervision (probation, full parole, day parole, mandatory supervision)
- 4. Yes, in a provincial correctional institution
- 5. Yes, in a federal correctional institution
- 6. Yes, in a psychiatric institution
- 7. Yes, in an institution for the developmentally handicapped
- 8. Not applicable
- 9. Not known

39a. Treatment entails intervention greater than one contact.

39b. Offender received treatment by a mental health professional while on remand or bail

- 1. Yes
- 2. No
- 9. Not known

40a. Admitted to psychiatric hospitals or psychiatric wards of general hospitals

- 1. Yes
- 2. No
- 9. Not known

40b. Actual number of psychiatric admissions if known

98. Not applicable
99. Not known

40b. i) Code number as 2 digits.

ii) Code na when no admissions.

F: Offender's Substance Use History

41. History of alcohol abuse as a teenager

1. No problems associated
2. Some problems associated (occasional minor arguments, assault, charge)
3. Interference with life (health threatened, frequent charges, job loss, frequent assaultive behaviour, marriage breakdown, addiction)
8. Not applicable
9. Not known

41. i) Teenager is understood as an adolescent under age 18.

ii) Code na if offender never drank as a teenager.

42. History of alcohol abuse as an adult

1. No problems associated
2. Some problems associated
3. Interference with life
8. Not applicable
9. Not known

42. Code na for an offender who never drinks.

43. History of drug abuse as a teenager

1. No problems associated
2. Some problems associated
3. Interference with life
8. Not applicable
9. Not known

42. Code na for an offender who never drinks.

44. History of drug abuse as an adult

1. No problems associated
2. Some problems associated
3. Interference with life
8. Not applicable
9. Not known

44. Code na for an offender who never drinks.

G: Offender's Juvenile Offence History

45a. Offender had a juvenile offence history.

1. Yes
2. No
9. Not known

45b. Arrests under age 16

A-1 Violent offence(s) 1. Yes 2. No 8. N/A 9. Not known

A-2 Sex offence(s) 1. Yes 2. No 8. N/A 9. Not known

A-3 Other offence(s) 1. Yes 2. No 8. N/A 9. Not known

N/A Not applicable

45a. i) Code Yes, No or Not known to indicate if the offender was arrested for this type of offence before the age of 16.

ii) Violent offenses are understood as person offenses excluding sex offenses.

45c. If Yes was answered to any part of Item 45a, specify the age first arrested

 | |

98. Not applicable

99. Not known

45b. Code age as a 2 digit number.

46a. Arrests - age 16 to age 18

A-1 Violent offence(s) 1. Yes 2. No 8. N/A 9. Not known

A-2 Sex offence(s) 1. Yes 2. No 8. N/A 9. Not known

A-3 Other offence(s) 1. Yes 2. No 8. N/A 9. Not known

N/A Not applicable

46b. If Yes was answered to any part of Item 46a, specify age arrested

□ □

98. Not applicable

99. Not known

- 46a. i) Code Yes, No or Not known to indicate if the offender was arrested for this type of offence before the age of 16.
- ii) Violent offenses are understood as person offenses excluding sex offenses.

PART II: OFFENDER'S SEX OFFENCE HISTORY

47. Rationale for identification as a sex offender. Circle all that apply and provide number of offenses where required.
- 47.1 The offender is currently under sentence for a **major** admitting sex offence (Major is defined as the offence with the longest sentence).
1. Yes
 2. No
- 47.2 The offender is currently under sentence for a **non-major** admitting sex offence (Non-major is defined as an offence for which the sentence received was not the longest sentence received for the current term.)
1. Yes
 2. No
- 47.3 The offender has been convicted in the **past** for one or more sexual offenses for which he is not currently under sentence.
1. Yes
 2. No
- 47.3a If the offender was convicted for one or more sexual offenses and had served a Federal sentence(s) prior to the current sentence, indicate with 2 digits the number of sexual offenses for which he was convicted.
- UU

98. Not applicable
99. Not known

- 47.3b If the offender was convicted for one or more sexual offenses and had served a Provincial sentence(s) including a probation sentence(s), prior to the current sentence, indicate with 2 digits the number of sexual offenses for which he was convicted.

98. Not applicable
99. Not known

- 47.4 The offender is currently under sentence for an offence that is not labelled as sexual but which is known from its description to be sexual in nature. The charge may have been reduced through plea bargaining (Sexual Assault reduced to Assault Causing Bodily Harm) or the result of the more serious aspect of the sexual offence (a rape that ends in the murder of the victim becomes Manslaughter)

1. Yes
2. No

- 47.5 The offender is known to have committed a sex offence in the past for which he was never convicted. The offender may have admitted this himself or it may be documented on file as charges dropped or withdrawn, the reason for admission to a psychiatric or retardation facility, social services contact, or the reason parole was revoked.

1. Yes
2. No

A: Most Recent Sex Offence

In this section, please provide the requested information for the most recent sexual offence(s).

48. Date most recent sex offence(s) occurred.

____/____/____
yy/mm/dd

-99/99. Not known

49. Date charged for most recent sex offence(s)

____/____/____
yy/mm/dd

-98/98 Not applicable
-99/99. Not known

50. Date convicted for most recent sex offence(s)

____/____/____
yy/mm/dd

-98/98 Not applicable
-99/99. Not known

51a. Suspended sentence/probation/community service order received for most recent sex offence(s)

1. Yes
2. No
8. Not applicable
9. Not known

51b. Length in months of suspended sentence/probationary or community service order sentence

□□□

- 98. Not applicable
- 99. Not known

52a. Carceral sentence received for most recent sex offence(s)

- 1. Yes
- 2. No
- 8. Not applicable
- 9. Not known

52b. Length in months of carceral sentence.

□□□

- 98. Not applicable
- 99. Not known
- 999. Life/indeterminate

51b. Code na if no suspended / probationary or community service order received.

- 52b. i) Code na if no carceral sentence received
ii) code as 999 if a life sentence of unspecified length or an indeterminate sentence was received

53. Criminal code numbers, offence descriptors and sentence type if convicted for most recent sex offence(s)

Criminal Code Number Descriptor Sentence Type

- 1.
- 2.
- 3.
- 4.
- 5.
- 8. Not applicable
- 99. Not known

54. Total number of sexual victims in most recent sex offence(s)

UU

- 98. Not applicable
- 99. Not known

Items 55 through 84 should be recorded for the most recent sexual victim. If 2 or more were involved in the most recent sexual offence(s), provide information on the most seriously injured victim or the most representative victim.

55. Nature of victim for whom information is provided

- 1. Most seriously injured
- 2. Most representative
- 3. Only one victim
- 8. Not applicable
- 9. Not known

53. Sentence type: code 1 for single conviction sentence, 2 for a concurrent sentence, 3 for a consecutive sentence or nk for not known.

55. Indicate whether this victim was the most seriously injured victim, the most representative victim or the only victim of the most recent offence

| | |
|--|-----------------------------------|
| 56. Sex of victim | |
| 1. Male | |
| 2. Female | |
| 8. Not applicable | |
| 9. Not known | |
| 57. Age of victim. | 57. Code age as a 2 digit number. |
| <u> </u> | |
| -98. Not applicable | |
| -99. Not known | |
| 58. Relationship of victim to offender | |
| 1. Spouse (include common-law) | |
| 2. Biological parent | |
| 3. Step-parent (include foster) | |
| 4. Biological child | |
| 5. Step-child | |
| 6. Sibling | |
| 7. Step-sibling (include foster) | |
| 8. Other relative (uncle, aunt, nephew, grandparent) | |
| 9. Good friend (include girlfriend, boyfriend) | |
| 10. Supervisory acquaintance (offender was teacher, coach, babysitter) | |
| 11. Casual acquaintance (neighbour) | |
| 12. Stranger | |
| 13. Not a person | |
| 98. Not applicable | |
| 99. Not known | |

59. Degree of force (coercion) used to commit sex offence

1. None
2. Enticement, persuasion (candy for a child, job offer)
3. Threaten to use force, no weapon
4. Threaten to use force with weapon (include showing victim the weapon)
5. Physical aggression, minor assault (hit, slap, push)
6. Physical aggression, serious or brutal assault (wounding, maiming, endangering victim's life)
7. Caused death without post-death mutilation.
8. Caused death and **post-death** mutilation (cuts off victim's breasts after she is dead)
98. Not applicable
99. Not known

59. Code the amount of force clearly evident in the description of force (coercion) used in relation to this victim.

60. Degree of physical injury to victim

1. No injury
2. Slight injury, no weapon
3. Slight injury, weapon
4. Victim treated in clinic (or emergency ward) and released
5. Victim hospitalized at least one night
6. Victim death
7. Victim death and **post-death** mutilation
98. Not applicable
99. Not known

61. Duration in months, if sexual abuse was more than one contact

□ □ □

- (-98.) Not applicable
(-99.) Not known

61. i) Code 001 for repeated sexual abuse which was 1 month or less in duration.

ii) Code na when there was only 1 abuse contact

| | | |
|------|--|--|
| 62_1 | Acts perpetrated against this victim | 62. Code all that apply |
| 62_2 | | |
| 62_3 | <ol style="list-style-type: none"> 1. Physical contact (touch, fondle, rub) 2. Physical contact including sexual penetration or attempted penetration of victim (oral, vaginal, anal) 3. Spoken contact (suggestive, propositions, no exhibitionism) 4. Exhibitionism (exposure but no physical touching of victim) 8. Not applicable 9. Not known | |
| 63_1 | Antecedents to or apparent motives involved in the sex offence | 63. i) Base your appraisal on the offence description and victim statement. Do not include the offender's own explanation. |
| 63_2 | | ii) Code all that apply. |
| 63_3 | <ol style="list-style-type: none"> 1. Sexual gratification, deviation (date refuses sex leads to rape, small boy grabbed in park and raped) 2. Jealousy (envious of relationship with other, leads to rape) 3. Rejection (turned down for a date, leads to rape) 4. Heated argument (angered by car accident, leads to rape) 5. Revenge (cold-blooded act to punish victim or other) 6. Robbery/economic (broke into home, discovers victim, decides to rape her) 7. Delusions, hallucinations (God told him to rape his mother) 8. Sex education 9. Claims innocence 10. Intoxicated/drug or alcohol abuse 11. Angered by someone/something 12. Marital problems/breakdown 13. Claims victim consented 14. Can't explain/doesn't know why 15. Doesn't remember 16. Love/emotional need/loneliness 17. Not perceived as wrong/harmful 88. Other (specify) _____ 90. Not answered 99. Not known | |

64_1 Offender's description of antecedents to or motives involved in
64_2 the sex offence
64_3

1. Sexual gratification, deviation (date refuses sex, leads to rape, small boy grabbed in park and raped)
2. Jealousy (envious of relationship with other, leads to rape)
3. Rejection (turned down for a date, leads to rape)
4. Heated argument (angered by car accident, leads to rape)
5. Revenge (cold-blooded act to punish victim or other)
6. Robbery/economic (broke into home, discovers victim, decides to rape her)
7. Delusions, hallucinations (God told him to rape his mother)
8. Sex education
9. Claims innocence
10. Intoxicated/drug alcohol abuse
11. Angered by someone/something
12. Marital problems/breakdown
13. Claims victim consented
14. Can't explain/doesn't know why
15. Doesn't remember
16. Love/emotional need/loneliness
17. Not perceived as wrong/harmful
88. Other (specify) _____
90. Not answered
99. Not known

65. Offender consumed alcohol at time of sex offence or immediately prior to most recent sex offence

1. Yes
2. No
9. Not known

66. Offender used drugs at time of sex offence or immediately prior to most recent sex offence

1. Yes
2. No
9. Not known

64. Code all that apply.

67. Offender planned sex offence.
1. Yes
2. No
9. Not known
68. Offender had an alcohol problem at time of most recent sex offence
1. Yes
2. No
9. Not known
69. Offender had a drug problem at time of most recent sex offence
1. Yes
2. No
9. Not known
70. Offender was receiving treatment other than sex offender treatment, from a mental health professional at the time of the most recent sex offence.
1. Yes
2. No
9. Not known
71. Offender was receiving sex offender treatment prior to the most recent sex offence
1. Yes
2. No
9. Not known
67. Examples of planning include seeking out specific victim types, clear evidence of planning by previous rehearsal, carrying rope, weapon, mask, cruising, stalking, altering car.
68. Examples of alcohol problem include blackouts, binging, charges, social interference.
69. Examples of drug problems include charges, addiction, frequent use of "hard" drugs, social interference.
70. i) Mental health professional includes social worker, psychologist, psychiatrist
ii) Do not include sex offender treatment as a Type of treatment in coding this item.
71. Code yes if treatment is known to be focused on sex offending.

72_1 General location of sex offender treatment, prior to the most recent sex offence
 72_2 _____
 72_3

- 1. Federal correctional institution
- 2. Provincial correctional institution
- 3. Provincial psychiatric facility (inpatient)
- 4. In community (social agency, psych. facility)
- 5. In community under federal supervision (day parole, full parole, mandatory supervision)
- 6. In community under provincial supervision (probation, parole)

 90 Not answered
 98 Not applicable
 99 Not known

72. i) If 5 or 6 is coded do not code 4.

ii) Code na if offender has not received sex offender treatment prior to the most recent sex offence.

73_1 Type(s) of sex offender treatment received by offender prior to the most recent sex offence
 73_2 _____
 73_3 _____
 73_4

- 1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA)
- 2. Minor tranquilizers
- 3. Phenothiazines (neuroleptics, anti-psychotic medication)
- 4. Other drug treatment
- 5. Behavioral therapy for deviant arousal (laboratory, biofeedback)
- 6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management)
- 7. Group therapy of any kind
- 8. Individual psychotherapy of any kind
- 9. Sexual addiction/offenders treatment program
- 10. Phoenix program - Alberta
- 88. Other (specify) _____

 90 Not answered
 98 Not applicable
 99 Not known

73. i) Code all that apply.

ii) Code na if offender did not receive sex offender treatment following the most recent sex offence(s).

- | | |
|---|--|
| <p>74. Offender was receiving sex offender treatment at the time of the most recent sex offence</p> <ol style="list-style-type: none"> 1. Yes 2. No 9. Not known | <p>74. Code yes if treatment is known to be focused on sex offending.</p> |
| <p><u>75_1</u> General location of sex offender treatment, at the time of the <u>75_2</u> most recent sex offence</p> <ol style="list-style-type: none"> 1. Federal correctional institution 2. Provincial correctional institution 3. Provincial psychiatric facility (inpatient) 4. In community (social agency, psych. facility) 5. In community under federal supervision (day parole, full parole, mandatory supervision) 6. In community under provincial supervision (probation, parole) 7. Other (specify) _____ 98. Not applicable 99. Not known | <p>75. i) If 5 or 6 is coded do not code 4.</p> <p>ii) Code na if offender has not received sex offender treatment at the time of the most recent sex offence.</p> |
| <p><u>76_1</u> Type(s) of sex offender treatment received by offender at the <u>76_2</u> time of the most recent sex offence</p> <p><u>76_3</u></p> <p><u>76_4</u></p> <ol style="list-style-type: none"> 1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA) 2. Minor tranquilizers 3. Phenothiazines (neuroleptics, anti-psychotic medication) 4. Other drug treatment 5. Behavioral therapy for deviant arousal (laboratory, biofeedback) 6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management) 7. Group therapy of any kind 8. Individual psychotherapy of any kind 9. Sexual addiction/offenders treatment program 10. Phoenix program - Alberta 88. Other (specify) _____ 98. Not applicable 99. Not known | <p>76. i) Code all that apply.</p> <p>ii) Code na if offender did not receive sex offender treatment at the time of the most recent sex offence(s).</p> |

77. Offender commenced sex offender treatment while on remand or bail for the most recent sex offence

- 1. Yes
- 2. No
- 9. Not known

78_1 Type(s) of sex offender treatment received by offender while on
78_2 remand or bail for the most recent sex offence

78_3

- 1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA)
- 2. Minor tranquilizers
- 3. Phenothiazines (neuroleptics, anti-psychotic medication)
- 4. Other drug treatment
- 5. Behavioral therapy for deviant arousal (laboratory, biofeedback)
- 6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management)
- 7. Group therapy of any kind
- 8. Individual psychotherapy of any kind
- 9. Sexual addiction/offenders treatment program
- 10. Phoenix program - Alberta
- 88. Other (specify) _____
- 90. Not answered
- 98. Not applicable
- 99. Not known

79. Offender expressed interest in sex offender treatment program for most recent sex offence

- 1. Yes
- 2. No
- 9. Not known

77. Code yes if treatment is known to be focused on sex offending.

78. i) Code all that apply.

ii) Code na if offender did not receive sex offender treatment while on remand or bail for the most recent sex offence(s).

80. Offender received sex offender treatment following the most recent sex offence.

- 1. Yes
- 2. No
- 3. In progress
- 9. Not known

81_1 General location of sex offender treatment, following the most

81_2 recent sex offence

81_3

- 1. Federal correctional institution
- 2. Provincial correctional institution
- 3. Provincial psychiatric facility (inpatient)
- 4. In community (social agency, psych. facility)
- 5. In community under federal supervision (day parole, full parole, mandatory supervision)
- 6. In community under provincial supervision (probation, parole)
- 90. Not answered
- 98. Not applicable
- 99. Not known

81. i) If 5 or 6 is coded do not code 4.

ii) Code na if offender has not received sex offender treatment following the most recent sex offence.

| | |
|---|---|
| <p>82_1 Type(s) of sex offender treatment received by offender following 82_2 the most recent sex offence</p> <p>82_3</p> <p>82_4</p> <ol style="list-style-type: none"> 1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA) 2. Minor tranquilizers 3. Phenothiazines (neuroleptics, anti-psychotic medication) 4. Other drug treatment 5. Behavioral therapy for deviant arousal (laboratory, biofeedback) 6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management) 7. Group therapy of any kind 8. Individual psychotherapy of any kind 9. Sexual addiction/offenders treatment program 10. Phoenix program - Alberta 88. Other (specify) _____ 90. Not answered 98. Not applicable 99. Not known | <p>82. i) Code all that apply.</p> <p>ii) Code na if offender did not receive sex offender treatment following the most recent sex offence.</p> |
| <p>83. Offender was under supervision of provincial or federal correctional authorities at the time of the most recent sex offence.</p> <ol style="list-style-type: none"> 1. No (living in community) 2. Incarcerated 3. Offender on day parole 4. Offender on full parole 5. Offender on mandatory supervision 6. Offender on partial release (temporary absence) 7. Probation 8. Bail/remand 9. At large 10. Awaiting proceedings 99. Not known | |

84. Offender was under supervision of mental health authorities at the time of the most recent sex offence.

1. Yes
2. No
9. Not known

84. Examples of this type of supervision include mental retardation authorities, Warrants of the Lieutenant Governor.

B. Most Serious Sex Offence

In this section, please provide the requested information for the most serious offence. The most serious sexual offence is the one which resulted in the greatest physical injury to the victim and/or in which the acts perpetrated were the most life-threatening. If the most serious sexual offence is also the most recent, skip ahead to section C.

Sec. B.

1. Not completed
2. Completed

85. Date most serious sex offence occurred

—/—/
yy/mm/dd

- 98/98. Not applicable
-99/99. Not known
Not completed

86. Date charged for most serious sex offence

—/—/
yy/mm/dd

- 98/98. Not applicable
-99/99. Not known
Not completed

87. Date convicted for most serious sex offence

____/____/
yy/mm/dd

- 98/98. Not applicable
- 99/99. Not known
- . Not completed

88a. Suspended sentence/probation/community service order received for most serious sex offence

- 1. Yes
- 2. No
- 8. Not applicable
- 9. Not known
- . Not completed

88b. Length in months of suspended sentence/probationary or community service order sentence

- 98. Not applicable
- 99. Not known
- . Not completed

88b. Code na if no suspended sentence / probationary or community service order received.

89a. Carceral sentence received for most serious sex offence

- 1. Yes
- 2. No
- 8. Not applicable
- 9. Not known
- . Not completed

| | |
|--|---|
| 89b. Length in months of carceral sentence. | 89b.i) Code na if no carceral sentence received. |
| <input type="text"/> <input type="text"/> <input type="text"/> | ii) Code as 999 if a life sentence of unspecified length or an indeterminate sentence was received. |
| <ul style="list-style-type: none"> 999. Life/Indeterminate -98. Not applicable -99. Not known . Not completed | |
| 90. Criminal code numbers, offence descriptors and sentence type if convicted for most serious sex offence | 90. Sentence type: code 1 for single conviction sentence, 2 for a concurrent sentence, 3 for a consecutive sentence or nk for not known. |
| <p>Criminal Code Number Descriptor Sentence Type</p> <ul style="list-style-type: none"> 1. 2. 3. | |
| 91. Total number of sexual victims in most serious sex offence | |
| <input type="text"/> <input type="text"/> | |
| <ul style="list-style-type: none"> -99. Not known . Not completed | |
| <p><i>Items 92 through 113 should be recorded for the victim of the most serious sex offence. If 2 or more were involved in the most serious sexual offence, provide information on the most seriously injured victim or the most representative victim.</i></p> | |
| <p>92. Nature of victim for whom information is provided</p> <ul style="list-style-type: none"> 1. Most seriously injured 2. Most representative 3. Only one victim 9. Not known . Not completed | <p>92. Indicate whether this victim was the most seriously injured victim, the most representative victim or the only victim of the most serious offence.</p> |

93. Sex of victim

- 1. Male
- 2. Female
- 9. Not known
- . Not completed

94. Age of victim

□ □

94. Code age as a 2 digit number.

- 99. Not known
- . Not completed

95. Relationship of victim to offender

- 1. Spouse (include common-law)
- 2. Biological parent
- 3. Step-parent (include foster)
- 4. Biological child
- 5. Step-child
- 6. Sibling
- 7. Step-sibling (include foster)
- 8. Other relative (uncle, aunt, nephew, grandparent)
- 9. Good friend (include girlfriend, boyfriend)
- 10. Supervisory acquaintance (offender was teacher, coach, babysitter)
- 11. Casual acquaintance (neighbour)
- 12. Stranger
- 13. Not a person
- 99. Not known
- . Not completed

| | |
|--|---|
| 96. Degree of force (coercion) used to commit sex offence | 96. Code the amount of force clearly evident in the description of force (coercion) used in relation to this victim. |
| 1. None | |
| 2. Enticement, persuasion (candy for a child, job offer) | |
| 3. Threaten to use force, no weapon | |
| 4. Threaten to use force with weapon (include showing victim the weapon) | |
| 5. Physical aggression, minor assault (hit, slap, push) | |
| 6. Physical aggression, serious or brutal assault (wounding, maiming, endangering victim's life) | |
| 7. Caused death without post-death mutilation. | |
| 8. Caused death and post-death mutilation (cuts off victim's breasts after she is dead) | |
| 9. Not known | |
| . Not completed | |
| 97. Degree of physical injury to victim | |
| 1. No injury | |
| 2. Slight injury, no weapon | |
| 3. Slight injury, weapon | |
| 4. Victim treated in clinic (or emergency ward) and released | |
| 5. Victim hospitalized at least one night | |
| 6. Victim death without post-death mutilation | |
| 7. Victim death and post-death mutilation | |
| 9. Not known | |
| . Not completed | |
| 98. Duration in months, if sexual abuse was more than one contact □□□ | 98. i) Code 001 for repeated sexual abuse which was 1 month or less in duration. ii) Code na when there was only 1 abuse contact |
| -98. Not applicable | |
| -99. Not known | |
| . Not completed | |

| | | |
|-------|---|---|
| 99_1 | Acts perpetrated against this victim | 99. Code all that apply |
| 99_2 | | |
| 99_3 | <ol style="list-style-type: none"> 1. Physical contact (touch, fondle, rub) 2. Physical contact including sexual penetration or attempted penetration of victim (oral, vaginal, anal) 3. Spoken contact (suggestive, propositions, no exhibitionism) 4. Exhibitionism (exposure but no physical touching of victim) 8. Not applicable 9. Not known . Not completed | |
| 100_1 | Antecedents to or apparent motives involved in the sex offence | 100. i) Base your appraisal on the offence description and victim statement. Do not include the offender's own explanation. |
| 100_2 | <ol style="list-style-type: none"> 1. Sexual gratification, deviation (date refuses sex leads to rape, small boy grabbed in park and raped) 2. Jealousy (envious of relationship with other, leads to rape) 3. Rejection (turned down for a date, leads to rape) 4. Heated argument (angered by car accident, leads to rape) 5. Revenge (cold-blooded act to punish victim or other) 6. Robbery/economic (broke into home, discovers victim, decides to rape her) 7. Delusions, hallucinations (God told him to rape his mother) 8. Sex education 9. Claims innocence 10. Intoxicated/drug or alcohol abuse 11. Angered by someone/something 12. Marital problems/breakdown 13. Claims victim consented 14. Can't explain/doesn't know why 15. Doesn't remember 16. Love/emotional need/loneliness 17. Not perceived as wrong/harmful 88. Other (specify) _____ | ii) Code all that apply. |
| 90: | Not answered | |
| 99. | Not known | |
| . | Not completed | |

101_1 Offender's description of antecedents to or motives involved in
101_2 the sex offence
101_3

1. Sexual gratification, deviation (date refuses sex, leads to rape, small boy grabbed in park and raped)
2. Jealousy (envious of relationship with other, leads to rape)
3. Rejection (turned down for a date, leads to rape)
4. Heated argument (angered by car accident, leads to rape)
5. Revenge (cold-blooded act to punish victim or other)
6. Robbery/economic (broke into home, discovers victim, decides to rape her)
7. Delusions, hallucinations (God told him to rape his mother)
8. Sex education
9. Claims innocence
10. Intoxicated/drug or alcohol abuse
11. Angered by someone/something
12. Marital problems/breakdown
13. Claims victim consented
14. Can't explain/doesn't know why
15. Doesn't remember
16. Love/emotional need/loneliness
17. Not perceived as wrong/harmful
88. Other (specify) _____
90. Not answered
99. Not known
- . Not completed

102. Offender consumed alcohol at time of sex offence or immediately prior to most serious sex offence.

1. Yes
2. No
9. Not known
- . Not completed

101. Code all that apply.

103. Offender used drugs at time of sex offence or immediately prior to most serious sex offence.
1. Yes
 2. No
 9. Not known
 - . Not completed
104. Offender planned sex offence.
1. Yes
 2. No
 9. Not known
 - . Not completed
105. Offender had an alcohol problem at time of most serious sex offence.
1. Yes
 2. No
 9. Not known
 - . Not completed
106. Offender had a drug problem at time of most serious offence.
1. Yes
 2. No
 9. Not known
 - . Not completed
107. Offender was receiving treatment other than sex offender treatment, from a mental health professional at the time of the most serious sex offence.
1. Yes
 2. No
 9. Not known
 - . Not completed
104. Examples of planning include seeking out specific victim types, clear evidence of planning by previous rehearsal, carrying rope, weapon, mask, cruising, stalking, altering car.
105. Examples of alcohol problem include blackouts, binging, charges, social interference.
106. Examples of drug problems include charges, addiction, frequent use of "hard" drugs, social interference.
107. i) Mental health professional includes social worker, psychologist, psychiatrist
- ii) Do not include sex offender treatment as a Type of treatment in coding this item.

| | |
|--|--|
| <p>108. Offender was receiving sex offender treatment prior to the most serious sex offence.</p> <ol style="list-style-type: none"> 1. Yes 2. No 9. Not known . Not completed | <p>108. Code yes if treatment is known to be focused on sex offending.</p> |
| <p>109_1 General location of sex offender treatment, prior to the most serious sex offence</p> <ol style="list-style-type: none"> 1. Federal correctional institution 2. Provincial correctional institution 3. Provincial psychiatric facility (inpatient) 4. In community (social agency, psych. facility) 5. In community under federal supervision (day parole, full parole, mandatory supervision) 6. In community under provincial supervision (probation, parole) 98. Not applicable 99. Not known . Not completed | <p>109. i) If 5 or 6 is coded do not code 4.</p> <p>ii) Code na if offender has not received sex offender treatment prior to the most serious sex offence.</p> |

110_1 Type(s) of sex offender treatment received by offender prior to
110_2 the most serious sex offence
110_3

1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA)
2. Minor tranquilizers
3. Phenothiazines (neuroleptics, anti-psychotic medication)
4. Other drug treatment
5. Behavioral therapy for deviant arousal (laboratory, biofeedback)
6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management)
7. Group therapy of any kind
8. Individual psychotherapy of any kind
9. Sexual addiction/offenders treatment program
10. Phoenix program - Alberta
88. Other (specify) _____
98. Not applicable
99. Not known
- . Not completed

111. Offender was receiving sex offender treatment at the time of the most serious sex offence.

1. Yes
2. No
9. Not known
- . Not completed

110. i) Code all that apply.
ii) Code na if offender did not receive sex offender treatment prior to the most serious sex offence(s).

111. Code yes if treatment is known to be focused on sex offending.

| | |
|---|---|
| 112_1 General location of sex offender treatment, at the time of the most serious sex offence | 112. i) If 5 or 6 is coded do not code 4. ii) Code na if offender has not received sex offender treatment at the time of the most serious sex offence. |
| 1. Federal correctional institution 2. Provincial correctional institution 3. Provincial psychiatric facility (inpatient) 4. In community (social agency, psych. facility) 5. In community under federal supervision (day parole, full parole, mandatory supervision) 6. In community under provincial supervision (probation, parole) 98. Not applicable 99. Not known . Not completed | |
| 113_1 Type(s) of sex offender treatment received by offender at the time of the most serious sex offence | 113. i) Code all that apply. ii) Code na if offender did not receive sex offender treatment at the time of the most serious sex offence. |
| 1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA) 2. Minor tranquilizers 3. Phenothiazines (neuroleptics, anti-psychotic medication) 4. Other drug treatment 5. Behavioral therapy for deviant arousal (laboratory, biofeedback) 6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management) 7. Group therapy of any kind 8. Individual psychotherapy of any kind 9. Sexual addiction/offenders treatment program 10. Phoenix program - Alberta 88. Other (specify) _____ 98. Not applicable 99. Not known . not completed | |

115_1 Type(s) of sex offender treatment received by offender while on remand or bail the most serious sex offence

1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA)
2. Minor tranquilizers
3. Phenothiazines (neuroleptics, anti-psychotic medication)
4. Other drug treatment
5. Behavioral therapy for deviant arousal (laboratory, biofeedback)
6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management)
7. Group therapy of any kind
8. Individual psychotherapy of any kind
9. Sexual addiction/offenders treatment program
10. Phoenix program - Alberta
88. Other (specify) _____
98. Not applicable
99. Not known
- . Not completed

115. i) Code all that apply.
- ii) Code na if offender did not receive sex offender treatment while on remand or bail for the most serious sex offence.

116. Offender expressed interest in sex offender treatment program for most serious sex offence.

1. Yes
2. No
9. Not known
- . Not completed

117. Offender has received sex offender treatment following the most serious sex offence.

1. Yes
2. No
3. In progress
9. Not known
- . Not completed

| | | |
|-------|---|---|
| 118_1 | General location of sex offender treatment, following the most serious sex offence | 118. i) If 5 or 6 is coded do not code 4. |
| 118_2 | | ii) Code na if offender has not received sex offender treatment following the most serious sex offence. |
| 118_3 | | |
| 1. | Federal correctional institution | |
| 2. | Provincial correctional institution | |
| 3. | Provincial psychiatric facility (inpatient) | |
| 4. | In community (social agency, psych. facility) | |
| 5. | In community under federal supervision (day parole, full parole, mandatory supervision) | |
| 6. | In community under provincial supervision (probation, parole) | |
| 90. | Not answered | |
| 98. | Not applicable | |
| 99. | Not known | |
| . | Not completed | |
| 119_1 | Type(s) of sex offender treatment received by offender following the most serious sex offence | 119. i) Code all that apply. |
| 119_2 | | ii) Code na if offender did not receive sex offender treatment following the most serious sex offence. |
| 119_3 | | |
| 119_4 | | |
| 1. | Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA) | |
| 2. | Minor tranquilizers | |
| 3. | Phenothiazines (neuroleptics, anti-psychotic medication) | |
| 4. | Other drug treatment | |
| 5. | Behavioral therapy for deviant arousal (laboratory, biofeedback) | |
| 6. | Skills training, (sex ed., heterosocial skills, assertiveness, anger management) | |
| 7. | Group therapy of any kind | |
| 8. | Individual psychotherapy of any kind | |
| 9. | Sexual addiction/offenders treatment program | |
| 10. | Phoenix program - Alberta | |
| 88. | Other (specify) _____ | |
| 98. | Not applicable | |
| 99. | Not known | |

120. Offender was under supervision of provincial or federal correctional authorities **at the time of** the most serious sex offence.

1. No (living in community)
2. Incarcerated
3. Offender on day parole
4. Offender on full parole
5. Offender on mandatory supervision
6. Offender on partial release (temporary absence)
7. Probation
99. Not known
- . Not completed

121. Offender was under supervision of mental health authorities **at the time of** the most serious sex offence.

1. Yes
2. No
9. Not known
- . Not completed

121. Examples of this type of supervision include mental retardation authorities, Warrants of the Lieutenant Governor.

C: Sex Offence with Longest Sentence

In this section, please provide the requested information for the sex offence with the longest sentence. If this is also the most recent sex offence or the most serious sex offence, skip ahead to Section D. If two sex offenses have the same sentence length, provide data on the most recent of these offenses.

Sec. C

1. Not completed
2. Completed

122. Date sex offence with longest sentence **occurred**

____/____/
yy/mm/dd

- 98/98. Not applicable
- 99/99. Not known
- .
- Not completed

123. Date charged for sex offence with longest sentence

____/____/
yy/mm/dd

- 98/98. Not applicable
- 99/99. Not known
- .
- Not completed

124. Date convicted for sex offence with longest sentence

____/____/
yy/mm/dd

- 98/98. Not applicable
- 99/99. Not known
- .
- Not completed

125a. Suspended sentence/probation/community service order received for sex offence with longest sentence

- 1. Yes
- 2. No
- 8. Not applicable
- 9. Not known

125b. Length in months of suspended sentence/probationary or community service order sentence

□ □ □

- 98. Not applicable
- 99. Not known
- . Not completed

125b. Code na if no suspended sentence/probationary or community service order received.

126a. Carceral sentence received for sex offence with longest sentence

- 1. Yes
- 2. No
- 8. Not applicable
- 9. Not known
- . Not completed

126b. Length in months of carceral sentence

□ □ □

- 999. Life/indeterminate
- 98. Not applicable
- 99. Not known
- . Not completed

126b.i) Code na if no carceral sentence received.

ii) Code 999 if life sentence of unspecified length or an indeterminate sentence was received

127. Criminal code numbers, offence descriptors and sentence type if convicted for sex offence with longest sentence

Criminal Code Number Descriptor Sentence Type

- 1.
- 2.
- 3.

127. Sentence type: code 1 for single conviction sentence, 2 for a concurrent sentence, 3 for a consecutive sentence or nk for not known.

128. Total number of sexual victims in sex offence with longest sentence

UU

- 99. Not known
- . Not completed

Items 129 through 158 should be recorded for the victim of the sex offence receiving the longest sentence. If 2 or more victims were involved in the sexual offence with the longest sentence, provide information on the most seriously injured victim or the most representative victim.

129. Nature of victim for whom information is provided

- 1. Most seriously injured
- 2. Most representative
- 3. Only one victim
- . Not completed

130. Sex of victim

- 1. Male
- 2. Female
- 9. Not known
- . Not completed

131. Age of victim

UU

- 99. Not known
- . Not completed

129. Indicate whether this victim was the most seriously injured victim, the most representative victim, or the only victim of the offence which received the longest sentence.

131. Code age as a 2 digit number.

132. Relationship of victim to offender

1. Spouse (include common-law)
2. Biological parent
3. Step-parent (include foster)
4. Biological child
5. Step-child
6. Sibling
7. Step-sibling (include foster)
8. Other relative (uncle, aunt, nephew, grandparent)
9. Good friend (include girlfriend, boyfriend)
10. Supervisory acquaintance (offender was teacher, coach, babysitter)
11. Casual acquaintance (neighbour)
12. Stranger
13. Not a person
99. Not known
- . Not completed

133. Degree of force (coercion) used to commit sex offence

1. None
2. Enticement, persuasion (candy for a child, job offer)
3. Threaten to use force, no weapon
4. Threaten to use force with weapon (include showing victim the weapon)
5. Physical aggression, minor assault (hit, slap, push)
6. Physical aggression, serious or brutal assault (wounding, maiming, endangering victim's life)
7. Caused death without post-death mutilation.
8. Caused death and **post-death** mutilation (cuts off victim's breasts after she is dead)
99. Not known
- . Not completed

133. Code the amount of force clearly evident in the description of force (coercion) used in relation to this victim.

134. Degree of physical injury to victim

1. No injury
2. Slight injury, no weapon
3. Slight injury, weapon
4. Victim treated in clinic (or emergency ward) and released
5. Victim hospitalized at least one night
6. Victim death without post-death mutilation
7. Victim death and post-death mutilation
99. Not known
- . Not completed

135. Duration in months, if sexual abuse was more than one contact

□ □ □

- 98. Not applicable
- 99. Not known
- . Not completed

136_1 Acts perpetrated against this victim

136_2

- 136_3
1. Physical contact (touch, fondle, rub)
 2. Physical contact including sexual penetration or attempted penetration of victim (oral, vaginal, anal)
 3. Spoken contact (suggestive, propositions, no exhibitionism)
 4. Exhibitionism (exposure but no physical touching of victim)
 8. Not applicable
 9. Not known
 - . Not completed

135. i) Code 001 for repeated sexual abuse which was 1 month or less in duration.

ii) Code na when there was only 1 abuse contact

136. Code all that apply

137_1 Antecedents to or apparent motives involved in the sex offence

137_2

1. Sexual gratification, deviation (date refuses sex leads to rape, small boy grabbed in park and raped)
2. Jealousy (envious of relationship with other, leads to rape)
3. Rejection (turned down for a date, leads to rape)
4. Heated argument (angered by car accident, leads to rape)
5. Revenge (cold-blooded act to punish victim or other)
6. Robbery/economic (broke into home, discovers victim, decides to rape her)
7. Delusions, hallucinations (God told him to rape his mother)
8. Sex education
9. Claims innocence
10. Intoxicated/drug or alcohol abuse
11. Angered by someone/something
12. Marital problems/breakdown
13. Claims victim consented
14. Can't explain/doesn't know why
15. Doesn't remember
16. Love/emotional need/loneliness
17. Not perceived as wrong/harmful
88. Other (specify) _____
90. Not answered
99. Not known
- . Not completed

137. i) Base your appraisal on the offence description and victim statement. Do not include the offender's own explanation.
- ii) Code all that apply.

138_1 Offender's description of antecedents to or motives involved in
138_2 the sex offence
138_3

1. Sexual gratification, deviation (date refuses sex, leads to rape, small boy grabbed in park and raped)
2. Jealousy (envious of relationship with other, leads to rape)
3. Rejection (turned down for a date, leads to rape)
4. Heated argument (angered by car accident, leads to rape)
5. Revenge (cold-blooded act to punish victim or other)
6. Robbery/economic (broke into home, discovers victim, decides to rape her)
7. Delusions, hallucinations (God told him to rape his mother)
8. Sex education
9. Claims innocence
10. Intoxicated/drug or alcohol abuse
11. Angered by someone/something
12. Marital problems/breakdown
13. Claims victim consented
14. Can't explain/doesn't know why
15. Doesn't remember
16. Love/emotional need/loneliness
17. Not perceived as wrong/harmful
88. Other (specify) _____
90. Not answered
99. Not known
- . Not completed

139. Offender consumed alcohol at time of sex offence or immediately prior to sex offence with longest sentence.

1. Yes
2. No
9. Not known
- . Not completed

138. Code all that apply.

140. Offender used drugs at time of sex offence or immediately prior to sex offence with longest sentence.

- 1. Yes
- 2. No
- 9. Not known
- . Not completed

141. Offender planned sex offence.

- 1. Yes
- 2. No
- 9. Not known
- . Not completed

141. Examples of planning include seeking out specific victim types, clear evidence of planning by previous rehearsal, carrying rope, weapon, mask, cruising, stalking, altering car.

142. Offender had an alcohol problem at time of sex offence with longest sentence.

- 1. Yes
- 2. No
- 9. Not known
- . Not completed

142. Examples of alcohol problem include blackouts, binging, charges, social interference.

143. Offender had a drug problem at time of sex offence with longest sentence.

- 1. Yes
- 2. No
- 9. Not known
- . Not completed

143. Examples of drug problems include charges, addiction, frequent use of "hard" drugs, social interference.

144. Offender was receiving treatment **other than sex offender treatment**, from a mental health professional **at the time** of the sex offence with longest sentence.

- 1. Yes
- 2. No
- 9. Not known
- . Not completed

145. Offender was receiving sex offender treatment **prior to** the sex offence with longest sentence.

- 1. Yes
- 2. No
- 9. Not known
- . Not completed

146. 1 General location of sex offender treatment, **prior to** the sex offence with longest sentence

- 1. Federal correctional institution
- 2. Provincial correctional institution
- 3. Provincial psychiatric facility (inpatient)
- 4. In community (social agency, psych. facility)
- 5. In community under federal supervision (day parole, full parole, mandatory supervision)
- 6. In community under provincial supervision (probation, parole)
- 90. Not answered
- 98. Not applicable
- 99. Not known
- . Not completed

144. i) Mental health professional includes social worker, psychologist, psychiatrist

ii) Do not include sex offender treatment as a type of treatment in coding this item.

145. Code yes if treatment is known to be focused on sex offending.

146. i) If 5 or 6 is coded do not code 4.

ii) Code na if offender has not received sex offender treatment prior to the sex offence with longest sentence.

147_1 Type(s) of sex offender treatment received by offender prior to
147_2 the sex offence with longest sentence
147_3

1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA)
2. Minor tranquilizers
3. Phenothiazines (neuroleptics, anti-psychotic medication)
4. Other drug treatment
5. Behavioral therapy for deviant arousal (laboratory, biofeedback)
6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management)
7. Group therapy of any kind
8. Individual psychotherapy of any kind
9. Sexual addiction/offenders treatment program
10. Phoenix program - Alberta
88. Other (specify) _____
90. Not answered
98. Not applicable
99. Not known
- . Not completed

148. Offender was receiving sex offender treatment at the time of the sex offence with longest sentence.

1. Yes
2. No
9. Not known
- . Not completed

147. i) Code all that apply.
ii) Code na if offender did not receive sex offender treatment prior to the sex offence with longest sentence(s).

148. Code yes if treatment is known to be focused on sex offending.

| | |
|--|---|
| <p>149. General location of sex offender treatment, at the time of the sex offence with longest sentence</p> <ul style="list-style-type: none"> 1. Federal correctional institution 2. Provincial correctional institution 3. Provincial psychiatric facility (inpatient) 4. In community (social agency, psych. facility) 5. In community under federal supervision (day parole, full parole, mandatory supervision) 6. In community under provincial supervision (probation, parole) 98. Not applicable 99. Not known . Not completed | <p>149. i) If 5 or 6 is coded do not code 4.</p> <p>ii) Code na if offender has not received sex offender treatment at the time of the sex offence with longest sentence.</p> |
| <p>150_1 Type(s) of sex offender treatment received by offender at the time of the sex offence with longest sentence</p> <ul style="list-style-type: none"> 1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA) 2. Minor tranquilizers 3. Phenothiazines (neuroleptics, anti-psychotic medication) 4. Other drug treatment 5. Behavioral therapy for deviant arousal (laboratory, biofeedback) 6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management) 7. Group therapy of any kind 8. Individual psychotherapy of any kind 9. Sexual addiction/offenders treatment program 10. Phoenix program - Alberta 88. Other (specify) _____ 98. Not applicable 99. Not known . Not completed | <p>150. i) Code all that apply.</p> <p>ii) Code na if offender did not receive sex offender treatment at the time of the sex offence with longest sentence.</p> |

| | |
|---|--|
| 151. Offender commenced sex offender treatment while on remand or bail for the sex offence with longest sentence. | 151. Code yes if treatment is known to be focused on sex offending. |
| 1. Yes 2. No 9. Not known . not completed | |
| 152_1 Type(s) of sex offender treatment received by offender while on remand or bail the sex offence with longest sentence | i) Code all that apply. ii) Code na if offender did not receive sex offender treatment while on remand or bail for the sex offence with longest sentence. |
| 1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA) 2. Minor tranquilizers 3. Phenothiazines (neuroleptics, anti-psychotic medication) 4. Other drug treatment 5. Behavioral therapy for deviant arousal (laboratory, biofeedback) 6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management) 7. Group therapy of any kind 8. Individual psychotherapy of any kind 9. Sexual addiction/offenders treatment program 10. Phoenix program - Alberta 88. Other (specify) _____ 98. Not applicable 99. Not known . Not completed | |
| 153. Offender expressed interest in sex offender treatment program for sex offence with longest sentence. | |
| 1. Yes 2. No 9. Not known . Not completed | |

154. Offender has received sex offender treatment following the sex offence with longest sentence.

- 1. Yes
- 2. No
- 3. In progress
- 9. Not known
- . Not completed

155_1 General location of sex offender treatment, following the sex
155_2 offence with longest sentence

- 1. Federal correctional institution
- 2. Provincial correctional institution
- 3. Provincial psychiatric facility (inpatient)
- 4. In community (social agency, psych. facility)
- 5. In community under federal supervision (day parole, full parole, mandatory supervision)
- 6. In community under provincial supervision (probation, parole)
- 90. Not answered
- 98. Not applicable
- 99. Not known
- . Not completed

155. i) If 5 or 6 is coded do not code 4.

ii) Code na if offender has not received sex offender treatment following the sex offence with longest sentence.

156_1 Type(s) of sex offender treatment received by offender following
156_2 the sex offence with longest sentence

156_3

- 156_4
- 1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA)
 - 2. Minor tranquilizers
 - 3. Phenothiazines (neuroleptics, anti-psychotic medication)
 - 4. Other drug treatment
 - 5. Behavioral therapy for deviant arousal (laboratory, biofeedback)
 - 6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management)
 - 7. Group therapy of any kind
 - 8. Individual psychotherapy of any kind
 - 9. Sexual addiction/offenders treatment program
 - 10. Phoenix program - Alberta
 - 88. Other (specify) _____
 - 90. Not answered
 - 98. Not applicable
 - 99. Not known
 - . Not completed

157. Offender was under supervision of provincial or federal correctional authorities **at the time of the sex offence with longest sentence.**

- 1. No (living in community)
- 2. Incarcerated
- 3. Offender on day parole
- 4. Offender on full parole
- 5. Offender on mandatory supervision
- 6. Offender on partial release (temporary absence)
- 7. Probation
- 99. Not known
- . Not completed

156. i) Code all that apply.
- ii) Code na if offender did not receive sex offender treatment following the sex offence with longest sentence.

158. Offender was under supervision of mental health authorities at the time of the sex offence with longest sentence.
1. Yes
 2. No
 9. Not known
 - . Not completed
158. Examples of this type of supervision include mental retardation authorities, Warrants of the Lieutenant Governor.

D. Complete Sex Offence History

Code all known sex offenses including most recent sex offence, most serious sex offence and sex offence with longest sentence.

159. Total number of sex offenses

-99. Not known

- 160_1 Victim Age - sex category

160_2

- 160_3 1. newborn - 3 years female
 160_4 2. newborn - 3 years male
 160_5 3. 4-8 years female
 160_6 4. 4-8 years male
 160_7 5. 9-12 years female
 160_8 6. 9-12 years male
 7. 13-15 years female
 8. 13-15 years male
 9. 16-18 years female
 10. 16-18 years male
 11. 19-49 female
 12. 19-49 male
 13. over 50 female
 14. over 50 male
 15. Age and/or sex of victim(s) unclear
 90. Not answered

159. Total includes all convictions, charges, documented incidents.

160. i) Code each age-sex category in which there was at least one victim.
 ii) In situations where abuse was ongoing, indicate age-sex category of 1st sexual abuse for that victim(s).

161. Offender has admitted responsibility for any previous sex offence.

- 1. Yes
- 2. No
- 9. Not known

162. Offender had used alcohol and/or drugs **during or immediately prior** to any sexual offence.

- 1. Yes
- 2. No
- 9. Not known

163. There is a pattern of **increasing seriousness or severity of sex offenses over time.**

- 1. Yes
- 2. No
- 8. Not applicable
- 9. Not known

163. i) For example, in early offence use of enticement, then later offence use of threats, next weapon use, most serious offence physical assault.

ii) Code na when there was only a single offence.

164. There is a pattern of **increasing rate of sex offenses over time.**

- 1. Yes
- 2. No
- 8. Not applicable
- 9. Not known

164. i) For example, after each release for sex offence, time in community decreases before each subsequent offence, and/or more offenses are occurring per unit time in which offender has opportunity.

ii) Code na when there was only a single sexual offence.

| | |
|---|---|
| <p>165. The offender has received sex offender treatment following any sexual offence</p> <ol style="list-style-type: none"> 1. Yes 2. No 9. Not known | <p>165. Refer to item 73 for types of sex offender treatment.</p> |
| <p>166_1 General location of treatment if offender has received or is 166_2 currently receiving sex offender treatment following any sexual 166_3 offence</p> <ol style="list-style-type: none"> 1. Federal correctional institution 2. Provincial correctional institution 3. Provincial psychiatric facility (inpatient) 4. In community (social agency, psych. facility) 5. In community under federal supervision (day parole, full parole, mandatory supervision) 6. In community under provincial supervision (probation, parole) 90. Not answered 98. Not applicable 99. Not known | <p>166. i) Code all that apply.</p> <p>ii) Code na if no sex offender treatment was received following any sex offence.</p> |

167_1 Type(s) of sex offender treatment received by offender following
167_2 any sexual offence

167_3

- 167_4 1. Drug treatment (anti-androgens including Provera, MPA, cyproterone acetate, CPA)
2. Minor tranquilizers
3. Phenothiazines (neuroleptics, anti-psychotic medication)
4. Other drug treatment
5. Behavioral therapy for deviant arousal (laboratory, biofeedback)
6. Skills training, (sex ed., heterosocial skills, assertiveness, anger management)
7. Group therapy of any kind
8. Individual psychotherapy of any kind
9. Sexual addiction/offenders treatment program
10. Phoenix program - Alberta
88. Other (specify) _____
90. Not answered
98. Not applicable
99. Not known

167. i) Code all that apply.
ii) Code na if offender did not receive sex offender treatment following any sex offence.

PART III - SEX OFFENDER TYPOLOGY

Base information on a review of the sex offence history.

- | | |
|---|--|
| 168. Sex of child victims | 168. i) Child victims are children under age 12. ii) Code na if no child victims. |
| 1. Male 2. Female 3. Both male and female 8. Not applicable 9. Not known | |
| 169_1 Relationship of child victims to offender 169_2 169_3 1. Biological child 169_4 2. Step-child 3. Other relative (include siblings, nephews) 4. Good friend 5. Supervisory (student, boy scout) 6. Casual acquaintance 7. Stranger 90. Not answered 98. Not applicable 99. Not known | 169. i) Code all that apply. ii) Code na if no child victims. |
| 170_1 Acts perpetrated against child victims 170_2 170_3 1. Physical contact (touch, fondle, rub) 170_4 2. Physical contact including sexual penetration or attempted penetration of victim (oral, vaginal, anal) 3. Spoken contact (suggestive, propositions, no exhibitionism) 4. Exhibitionism (exposure but no physical touching of victim) 90. Not answered 98. Not applicable 99. Not known | 170. i) Code all that apply. ii) Code na if no child victims. |

| | |
|--|---|
| 171. Sex of adolescent victims | 171. i) Adolescent victims are victims age 12 to 17 years. ii) Code na if no adolescent victims. |
| 1. Male 2. Female 3. Both male and female 8. Not applicable 9. Not known | |
| 172_1 Relationship of adolescent victims to offender 172_2 172_3 1. Biological child 172_4 2. Step-child 3. Other relative (include siblings, nephews) 4. Good friend 5. Supervisory (student, boy scout) 6. Casual acquaintance 7. Stranger 90. Not answered 98. Not applicable 99. Not known | 172. i) Code all that apply. ii) Code na if no adolescent victims. |
| 173_1 Acts perpetrated against adolescent victims 173_2 173_3 1. Physical contact (touch, fondle, rub) 173_4 2. Physical contact including sexual penetration or attempted penetration of victim (oral, vaginal, anal) 3. Spoken contact (suggestive, propositions, no exhibitionism) 4. Exhibitionism (exposure but no physical touching of victim) 90. Not answered 98. Not applicable 99. Not known | 173. i) Code all that apply. ii) Code na if no adolescent victims. |

| | | |
|--|--|--|
| 174. Sex of adult victims | | 174. i) Adult victims are victims age 18 and older. ii) Code na if no adult victims. |
| 1. Male 2. Female 3. Both male and female 8. Not applicable 9. Not known | | |
| 175_1 Relationship of adult victims to offender 175_2 175_3 1. Biological parent 2. Step-parent 3. Sibling 4. Biological child (when an adult) 5. Step-child (when an adult) 6. Other relative 7. Good friend 8. Casual acquaintance 9. Stranger 10. Spouse/common-law partner 11. Patient 12. Supervisor/teacher 90. Not answered 98. Not applicable 99. Not known | | 175. i) Code all that apply. ii) Code na if no adult victims. |
| 176_1 Acts perpetrated against adult victims 176_2 176_3 1. Physical contact (touch, fondle, rub) 176_4 2. Physical contact including sexual penetration or attempted penetration of victim (oral, vaginal, anal) 3. Spoken contact (suggestive, propositions, no exhibitionism) 4. Exhibitionism (exposure but no physical touching of victim) 90. Not answered 98. Not applicable 99. Not known | | 176. i) Code all that apply. ii) Code na if no adult victims. |

PART IV - GENERAL CRIMINAL HISTORY

177. Total number of admissions to federal institutions

□□

99. Not known

178. Total number of admissions to provincial institutions

□□

99. Not known

179. Nature of most serious conviction (i.e., the offence for which the longest sentence was received)

1. Murder, 1st degree
2. Murder, 2nd degree
3. Manslaughter
4. Criminal negligence causing death
5. Attempted murder
6. Wounding
7. Kidnapping, forcible confinement
8. Assault causing bodily harm
9. Common assault
10. Rape, attempted rape
11. Indecent assault
12. Other sexual offenses (exhibitionism etc.)
13. Arson, firesetting
14. Robbery (person offence)
15. Threatening, possession of weapon
16. Property (break and enter, auto theft, theft, mischief to property)
17. Other (narcotics, prostitution)
18. Sexual assault/aggravated sexual assault
99. Not known

179. The most serious conviction is the one for which the longest sentence was received.

| | |
|--|--|
| <p>180. Pattern of increasing seriousness or severity of non-sex, person offenses over time</p> <ol style="list-style-type: none"> 1. Yes 2. No 8. Not applicable 9. Not known | <p>180. i) For example, early offence threatened only, later offence, weapon involved, more recent a physical assault.</p> <p>ii) Code na if non-sex, person offenses total 0 or 1.</p> |
| <p>181. Pattern of increasing rate of non-sex, person offenses over time</p> <ol style="list-style-type: none"> 1. Yes 2. No 8. Not applicable 9. Not known | <p>181. i) For example, length of time between first and second offence, 2 years, between second and third, 1 year, and between third and fourth, 6 months.</p> <p>ii) Code na if non-sex, person offenses total 0 or 1.</p> |
| <p>182. Adult aggression score/rating (when offender is over 18, lifestyle indicators)</p> <p>Mild - arguments, spats, verbally aggressive Moderate - minor assaults, fights, brawls, slaps, punches Severe - brutal assault causing injury, broken bones Extreme - mutilation, death</p> <ol style="list-style-type: none"> 1. No evidence of aggression 2. Occasional mild aggression 3. Frequent mild aggression 4. Occasional moderate aggression 5. Frequent moderate aggression 6. Occasional or frequent severe aggression 7. Occasional or frequent extreme aggression 9. Not known | <p>182. Adult is understood as when offender was 18 or older.</p> |

PART V - CURRENT OFFENCE CASE MANAGEMENT DOCUMENTATION

183. Total aggregated sentence on the current term in months

UUU

183. Code 999 if a life sentence of unspecified length or an indeterminate sentence was received.

999. Life/indeterminate

-99. Not known

184. The current offence is also a sex offence.

1. Yes

2. No

9. Not known

185. The current offence is also the most serious sex offence.

1. Yes

2. No

9. Not known

186. Date of admission on current term.

__/__/__
yy/mm/dd

-99/99. Not known

186. Code date first admitted to a Correctional Service of Canada facility after conviction for the current offence.

187a. Day parole eligibility date

__/__/__
yy/mm/dd

-98/98. Not applicable
-99/99. Not known.

187b. Full parole eligibility date

____/____/
yy/mm/dd

- 98/98. Not applicable
- 99/99. Not known

188. Mandatory supervision date.

____/____/
yy/mm/dd

- 98/98. Not applicable
- 99/99. Not known

189. First release date on current term

____/____/
yy/mm/dd

- 98/98. Not applicable
- 99/99. Not known

190. First release type on current term

1. Day parole
2. Full parole
3. Mandatory supervision
4. Release on warrant expiry
8. Not applicable
9. Not known

190. Code na if no release on current term as of coding date.

191. Warrant expiry date

____/____/
yy/mm/dd

- 98/98. Not applicable
-99/99. Not known

192. Present status

1. incarcerated - minimum security
2. incarcerated - medium security
3. incarcerated - maximum security
4. R.P.C. or R.T.C. (regional psychiatric centre or regional treatment centre)
5. R.R.C. (regional reception centre)
6. S.H.U. (special handling unit)
7. Half way house
8. community supervision - day parole
9. community supervision - full parole
10. community supervision - mandatory supervision
11. Other - unspecified
12. At large
13. Warrant expired
14. On bail
16. Mental hospital
17. Deported
18. In custody - reoffence
19. Probation
20. Transferred to provincial court only
99. Not known

193. General statistical information about Recidivism Scale Score (SIR).

193. This is expressed as a positive or negative number.

+ or -

- 99 Not known

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